

Finite Volume Scaling of the Wilson Dirac Spectrum

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Joint Lattice Seminar, Humboldt University and DESY, Zeuthen, December 5, 2011

What Spectrum of the QCD Dirac operator

New Lattice QCD effects included ($a \neq 0$)

Why Extract continuum physics from the lattice

How Wilson Chiral Perturbation Theory

Warm up: Zero a

The partition function in a sector of topological charge ν

$$Z_{N_f}^\nu(m; a = 0) = \int_{U(N_f)} dU \det^\nu(U) e^{\frac{m}{2}\Sigma V \text{Tr}(U+U^\dagger)}$$

A group integral (*not a path integral*)

Σ is the chiral condensate

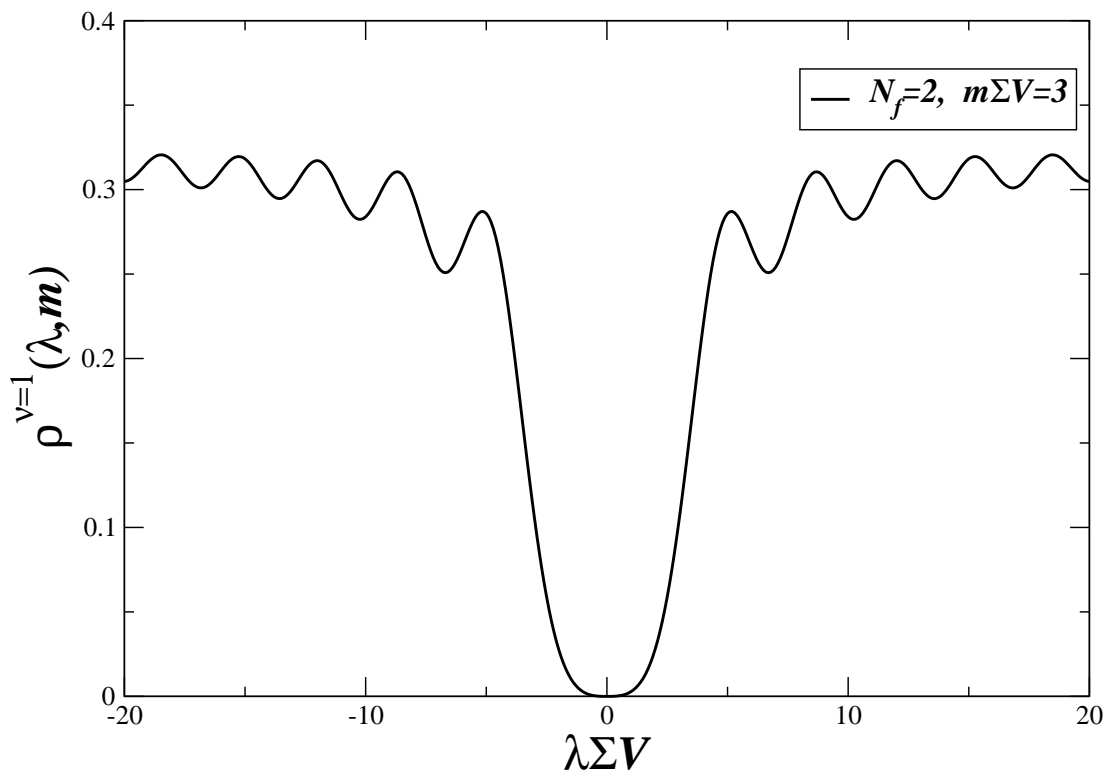
Gasser, Leutwyler, PLB 188(1987) 477; NPB 307 (1988) 763

Leutwyler, Smilga, PRD 46 (1992) 5607

Eigenvalue density at $a = 0$:

$$\gamma_5 D = -D \gamma_5 \quad \text{eigenvalues in pairs } (i\lambda, -i\lambda)$$

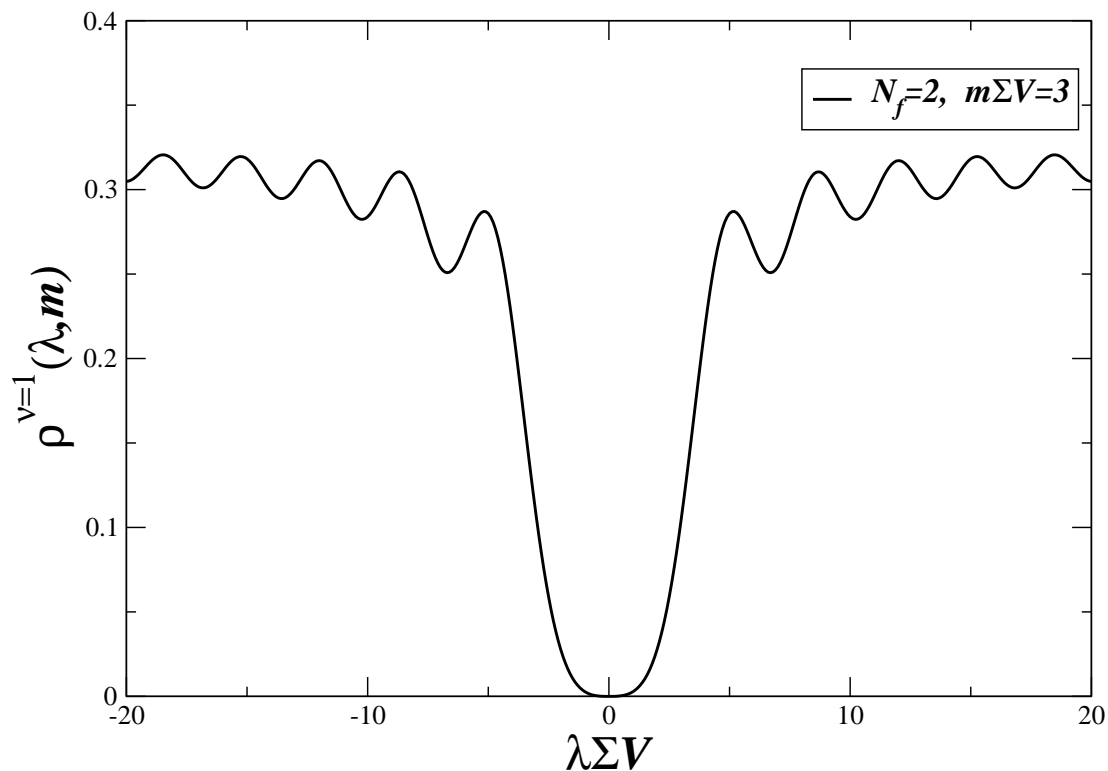
ν zero ev's



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ν zero ev's



One fit parameter Σ

Verbaarschot Wettig Ann.Rev.Nucl.Part.Sci. 50 (2000) 343, hep-ph/0003017

New: non zero lattice spacing a

Goal: *analytic predictions for the Dirac spectrum with $a \neq 0$*

Discretization effects depend on the discretization

Here: Wilson fermions

$$\gamma_5 D_W \neq -D_W \gamma_5$$

$$D_W^\dagger \neq -D_W$$

γ_5 -hermiticity

$$D_W^\dagger = \gamma_5 D_W \gamma_5$$

Discretization effects depend on the discretization

Here: Wilson fermions

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γ_5 -hermiticity

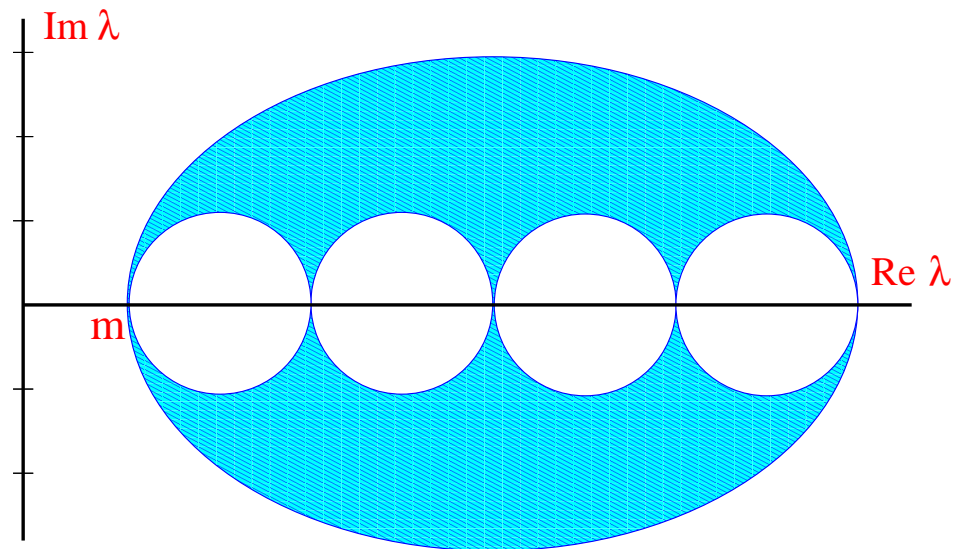
$$D_W^\dagger = \gamma_5 D_W \gamma_5$$

Eigenvalues, z , of D_W

- complex conjugate pairs (z, z^*)
- exact real eigenvalues

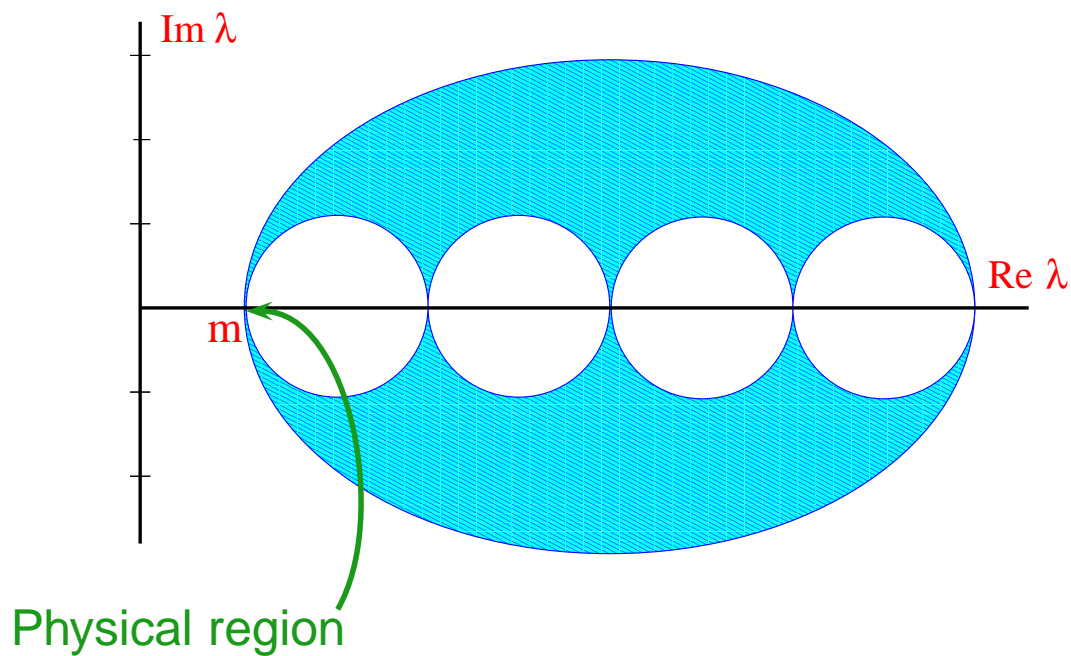
Free Wilson fermions.

Spectrum of $D_W + m$



Free Wilson fermions.

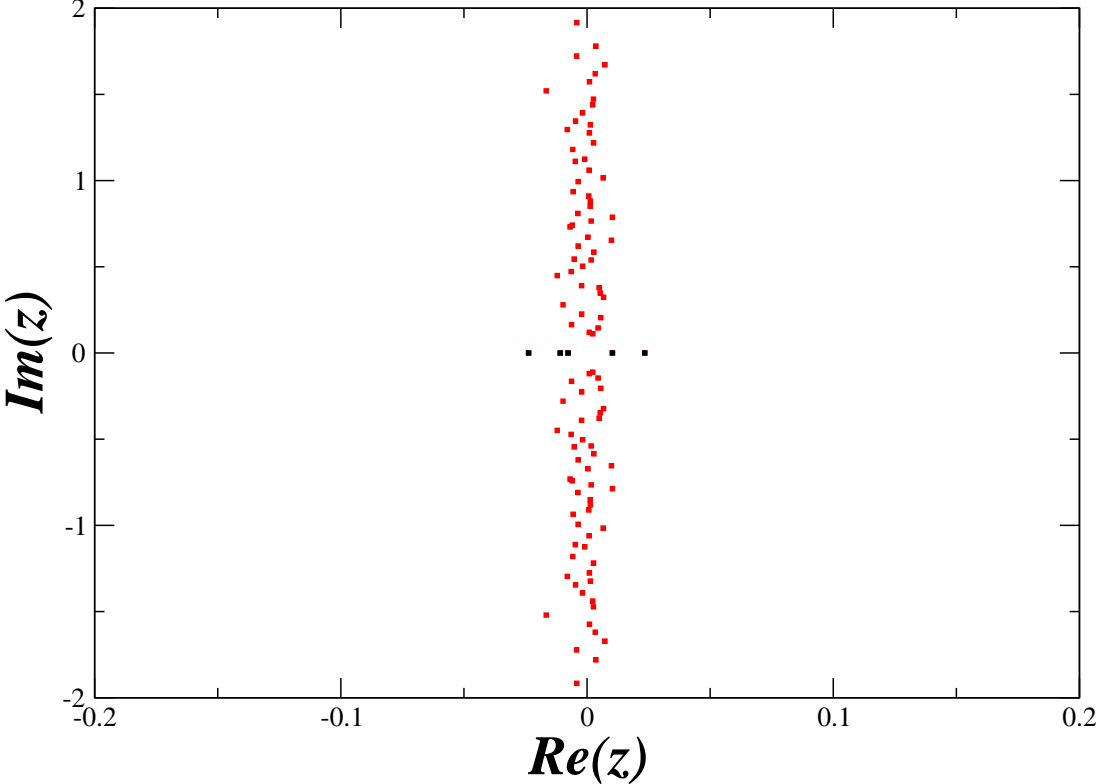
Spectrum of $D_W + m$



Creutz Annals Phys.322:1518-1540,2007

Eigenvalues, z , of D_W

(*illustration*)



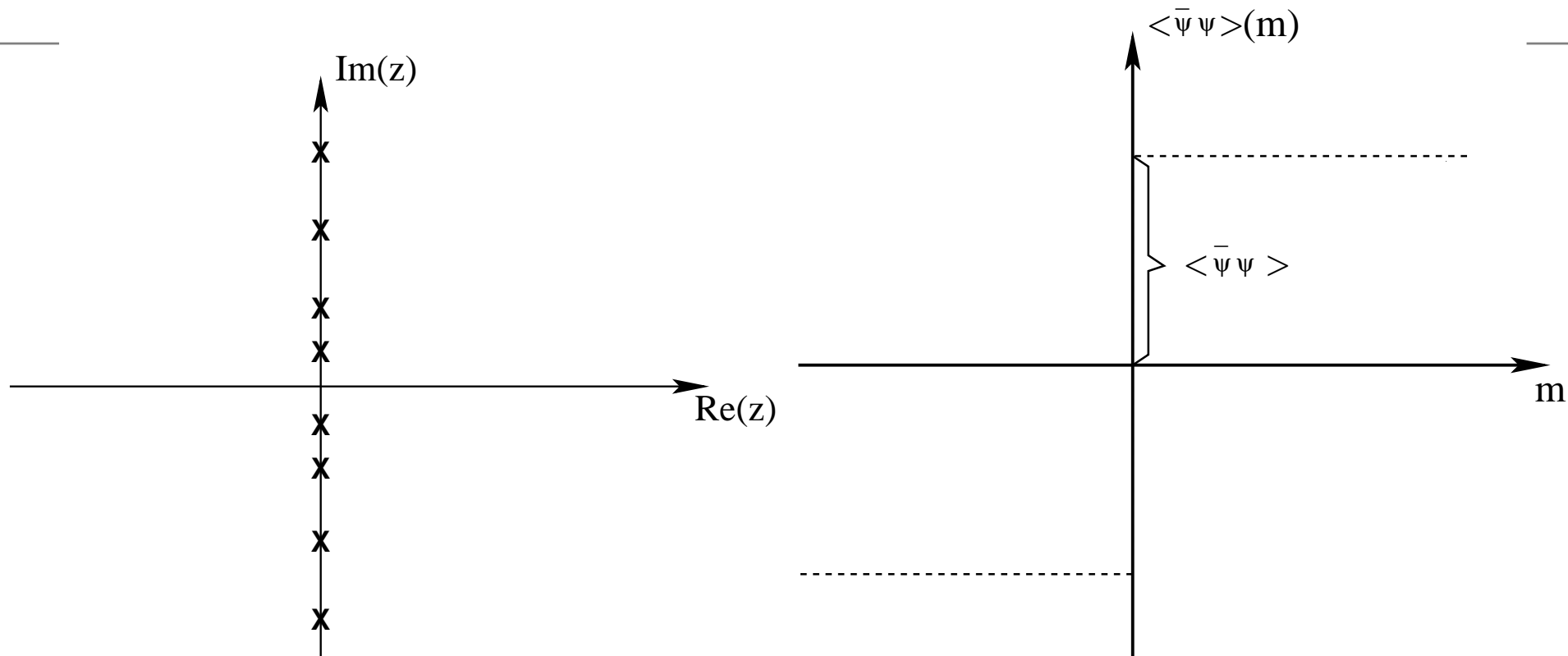
Goal: *analytic predictions for the Wilson Dirac spectrum with $a \neq 0$*

Lüscher JHEP0707:081,2007

Del Debbio Giusti Lüscher Petronzio Tantalò JHEP0702:082,2007

$$a = 0$$

Banks Casher

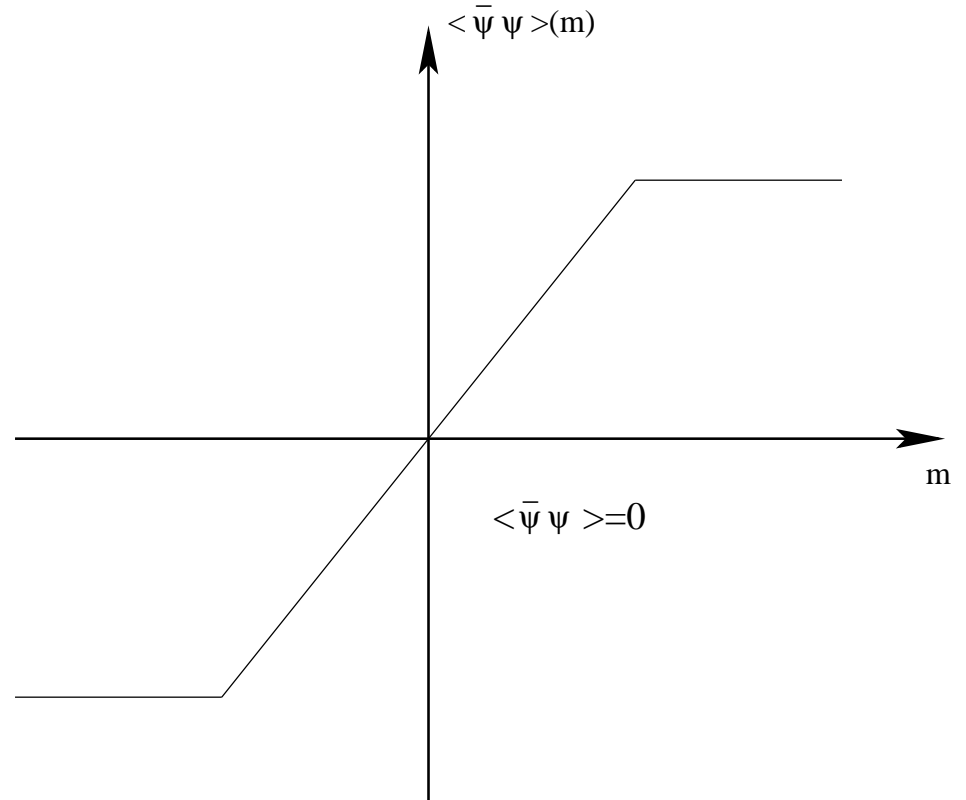
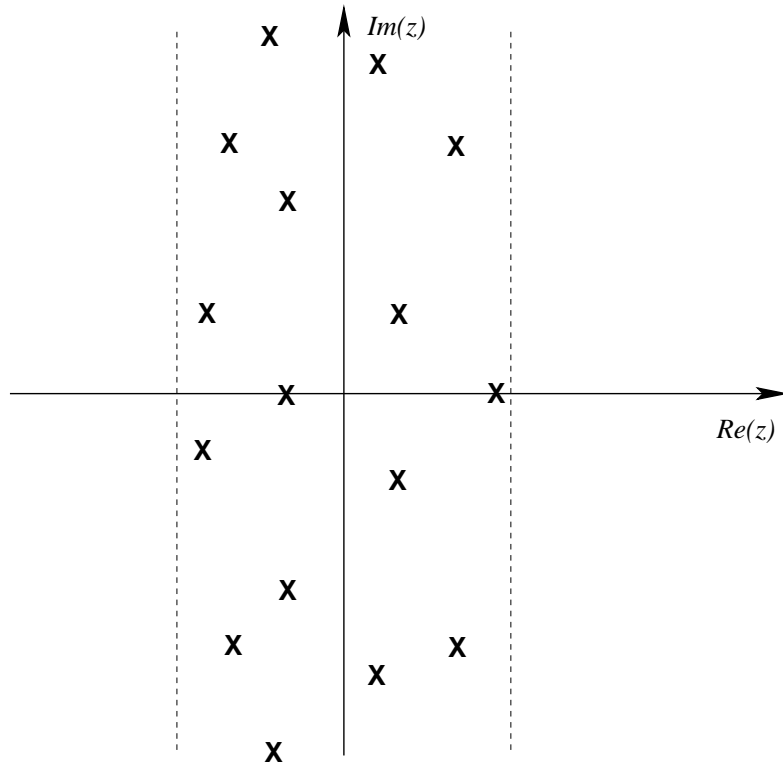


$$\langle \bar{\psi} \psi \rangle = \frac{\pi}{V} \rho(0)$$

Banks Casher NPB 169 (1980) 103

$$a \neq 0$$

Aoki phase (parity broken phase)



Electrostatic analogy:

Eigenvalues = charges, quark mass = test charge

Aoki PRD 30 2653 (1984)

Barbour et al. NPB 275 (1986) 296 (nonzero μ)

Method: *Wilson Chiral Perturbation Theory*

Sharpe PRD 74 (2006) 014512: *p*-regime

Wilson CPT

The chiral Lagrangian for Wilson fermions has new terms

$$\begin{aligned}\mathcal{L} = & \frac{F_\pi^2}{4} \text{Tr} (d_\mu U d_\mu U^\dagger) + \frac{m}{2} \Sigma \text{Tr}(U + U^\dagger) \\ & - a^2 W_6 [\text{Tr} (U + U^\dagger)]^2 - a^2 W_7 [\text{Tr} (U - U^\dagger)]^2 \\ & - a^2 W_8 \text{Tr}(U^2 + U^{\dagger 2})\end{aligned}$$

with new constants W_6 , W_7 and W_8

Sharpe Singleton PRD **58**, 074501 (1998)

Rupak Shores PRD **66**, 054503 (2002)

Aoki PRD 68:054508,2003

Bar Rupak Shores PRD **70**, 034508 (2004)

Sharpe Wu PRD **70**, 094029 (2004)

Aoki Baer PRD 70 (2004) 116011

Golterman Sharpe Singleton PRD **71**, 094503 (2005)

Del Debbio Frandsen Panagopoulos Sannino JHEP0806:007 (2008)

Shindler PLB 672, 82 (2009)

Bar Necco Schaefer JHEP 0903, 006 (2009)

Bar Necco Shindler JHEP 1004:053,2010

The partition function in a **sector ν**

$$Z_{N_f}^\nu = \int_{U(N_f)} dU \det^\nu(U) e^S$$

with

$$\begin{aligned} S = & +\frac{m}{2}\Sigma V \text{Tr}(U + U^\dagger) \\ & -a^2 V W_6 [\text{Tr}(U + U^\dagger)]^2 - a^2 V W_7 [\text{Tr}(U - U^\dagger)]^2 \\ & -a^2 V W_8 \text{Tr}(U^2 + U^{\dagger 2}) \end{aligned}$$

Wilson CPT in the ϵ -regime

$$(m\Sigma V \sim a^2 V W_i \sim 1)$$

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Non trivial fact: In **sector ν** the Wilson Dirac operator D_W has **index ν**

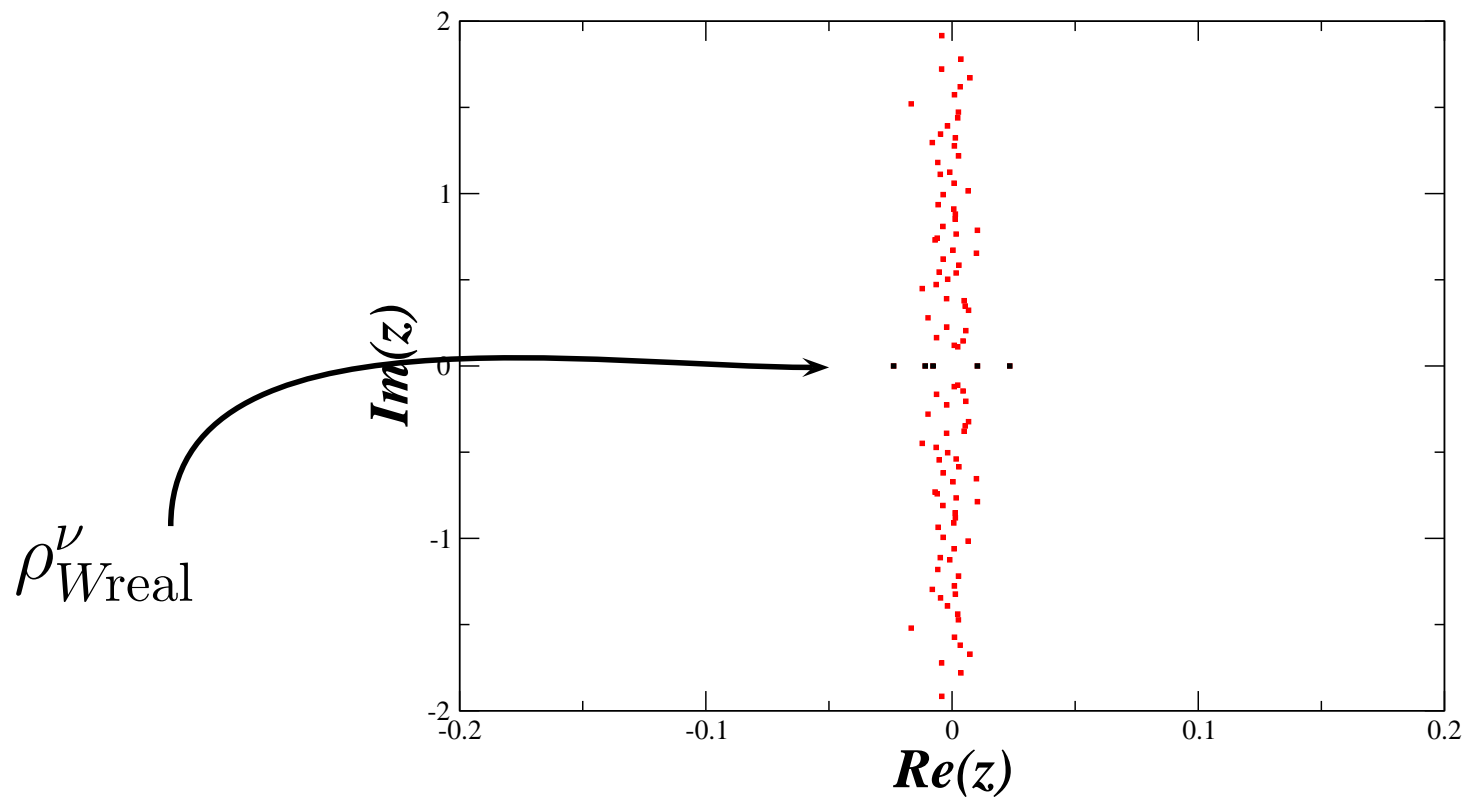
$$\text{index} = \sum_k \text{sign}(\langle k | \gamma_5 | k \rangle)$$

Damgaard Splittorff Verbaarschot PRL 105:162002, 2010

Akemann, Damgaard, Splittorff, Verbaarschot, PRD 83:085014, 2011

Eigenvalues, z , of D_W

(*illustration*)



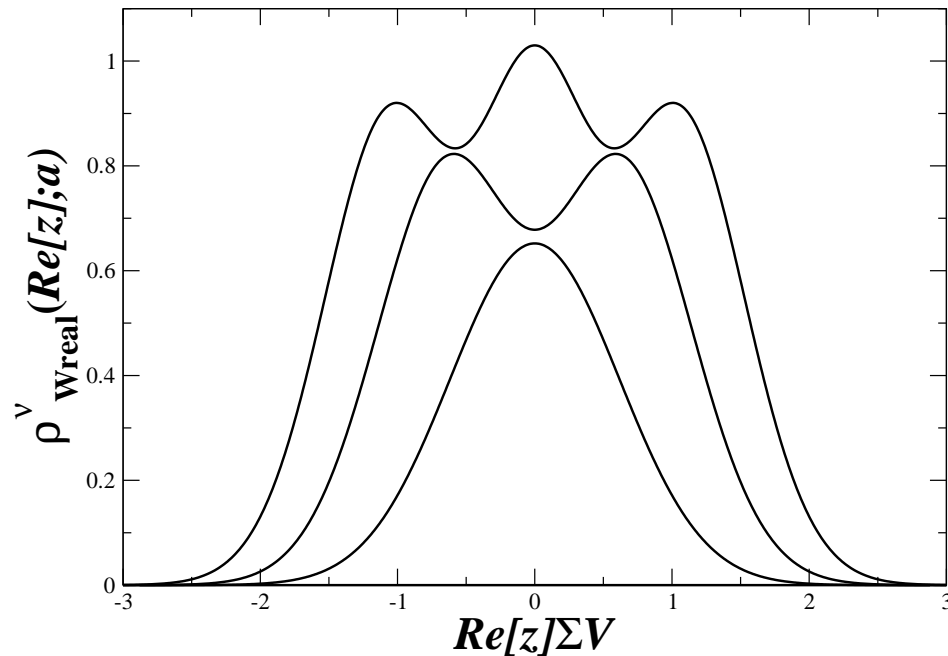
Microscopic density of D_W

The real eigenvalues of D_W in sector $\nu = 0, 1, 2, 3$

$$N_f = 0$$

$$a\sqrt{W_8 V} = 0.2$$

$$W_6 = W_7 = 0$$



Gattringer Hip Lang NPB 508 (1997) 329

Hernandez NPB 536 (1998) 345

Damgaard Splittorff Verbaarschot PRL 105:162002,2010

Kieburg, Verbaarschot, Zafeiropoulos arXiv:1109.0656

The Hermitian Wilson Dirac operator D_5

Introduce

$$D_5 \equiv \gamma_5(D_W + m)$$

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γ_5 -Hermiticity of D_W

Hermiticity of D_5

$$D_W^\dagger = \gamma_5 D_W \gamma_5 \quad \Rightarrow \quad D_5^\dagger = D_5$$

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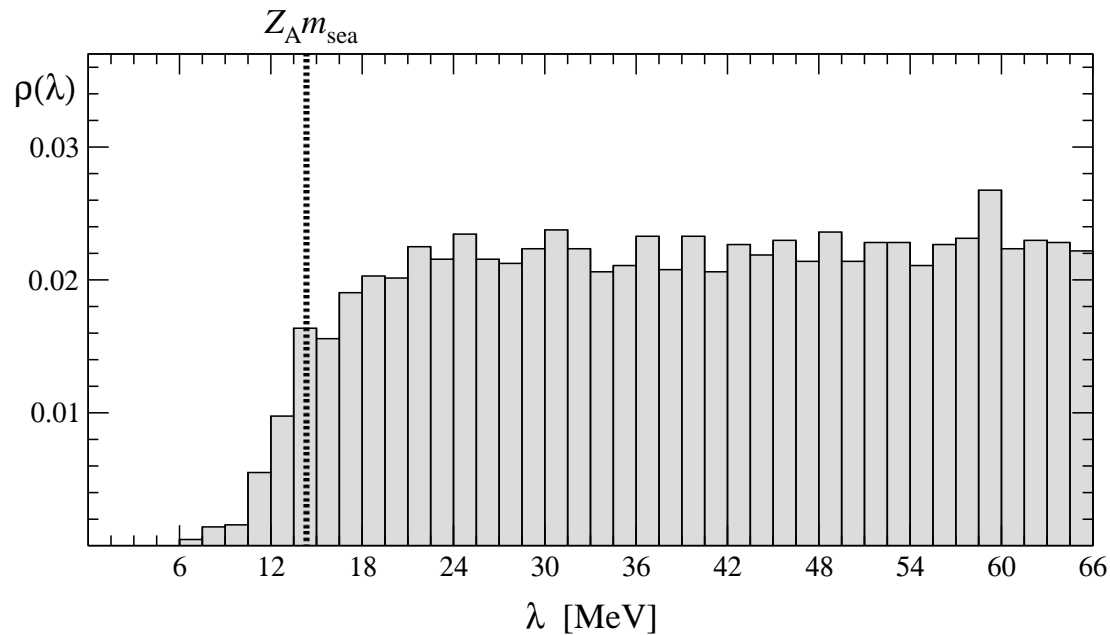
Hermiticity of D_5

$$D_W^\dagger = \gamma_5 D_W \gamma_5 \quad \Rightarrow \quad D_5^\dagger = D_5$$

D_5 is hermitian but spectrum *not* symmetric: *not* $(\lambda^5, -\lambda^5)$

Lattice

Spectrum of D_5 for $N_f = 2$



- Aoki phase when gap closes

Lüscher JHEP0707:081,2007

Del Debbio Giusti Lüscher Petronzio Tantalò JHEP0702:082,2007

Aoki PRD 30 (1984) 2653

Bitar Heller Narayanan PLB 418 167 (1998)

From Wilson CPT to the spectrum of D_5

The SUSY method

The SUSY way of writing the eigenvalue density

$$\rho_5^{N_f}(\lambda^5, m; a) = \frac{1}{\pi} \text{Im} \left[\lim_{z' \rightarrow z} \frac{d}{dz} Z_{N_f+1|1}^\nu(m, m, z, z'; a) \right]$$

SUSY/graded *generating function* for the eigenvalue density

$$Z_{N_f+1|1}^\nu(m, m, z, z'; a) = \int dA \det(D_W + m)^{N_f} \frac{\det(D_W + m + z\gamma_5)}{\det(D_W + m + z'\gamma_5)} e^{-S_{\text{YM}}(A)}$$

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integrate over the gauge fields

Efetov *Supersymmetry in disorder and chaos* Cambridge Uni Press 1997

The SUSY method in **Wilson CPT**

The SUSY way of writing the eigenvalue density

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SUSY/graded *generating function* for the eigenvalue density

$$\begin{aligned} Z_{N_f+1|1}(m, m, z, z'; a) = \\ \int dU \text{Sdet}(U)^\nu \\ \times e^{i\frac{1}{2} \text{Str}(\mathcal{M}[U-U^{-1}]) + i\frac{1}{2} \text{Str}(\mathcal{Z}[U+U^{-1}]) + a^2 W_8 V \text{Str}(U^2 + U^{-2})} \end{aligned}$$

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integrate over graded Goldstone manifold $Gl(N_f + 1|1)$

Damgaard Osborn Toublan Verbaarschot NPB 547 305 (1999): $a = 0$

Splitdorff, Verbaarschot, NPB 683 (2004) 467: $\mu \neq 0$

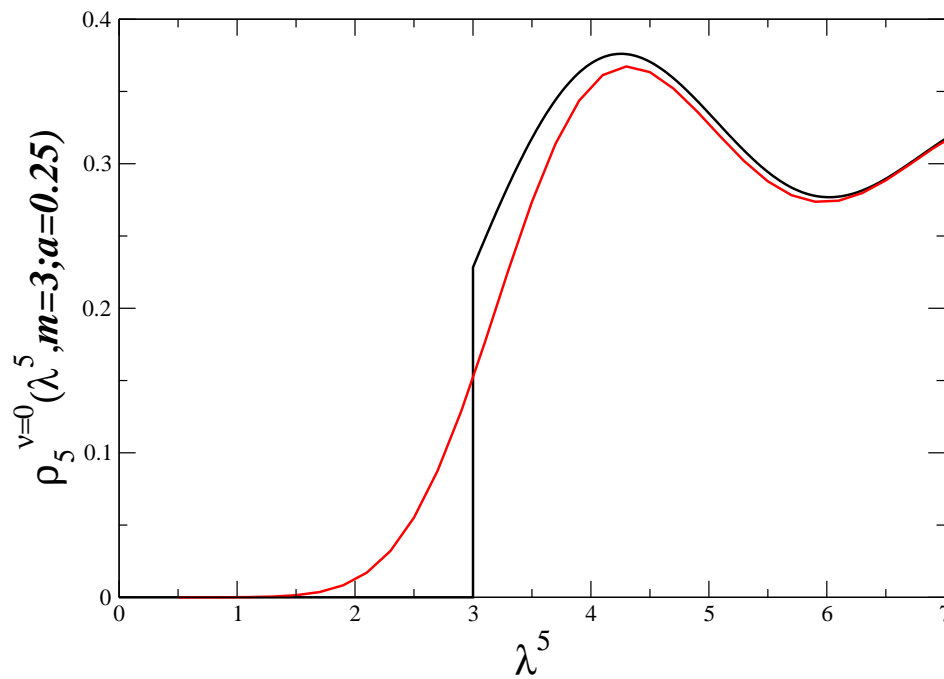
Spectrum of D_5

$$N_f = 2$$

$$m\Sigma V = 3$$

$$\nu = 0$$

$$a\sqrt{VW_8} = 0.25$$



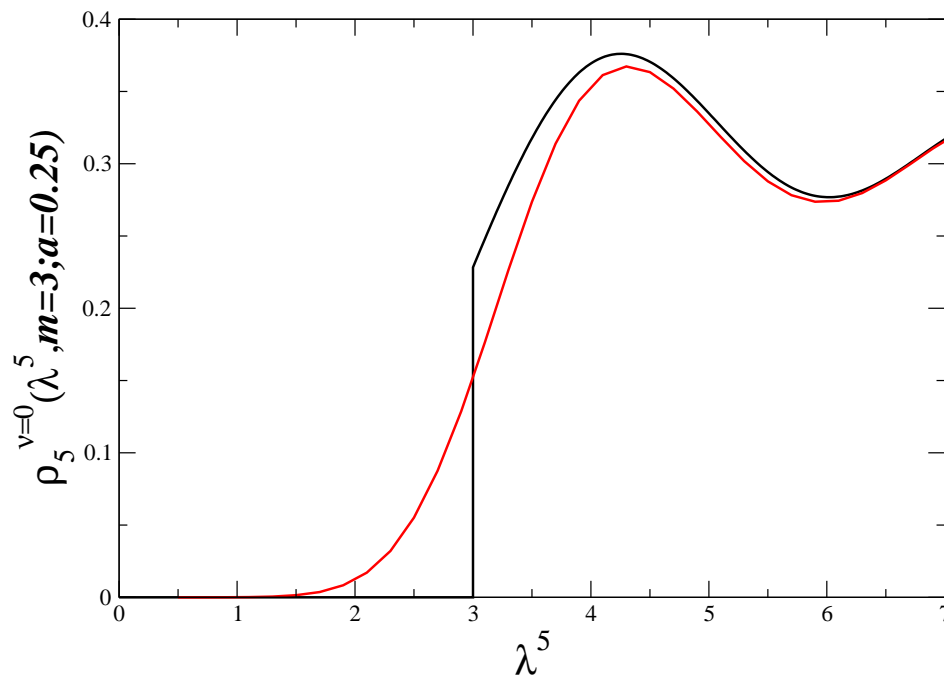
Spectrum of D_5

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Explains $1/\sqrt{V}$ scaling of width of smallest eigenvalues

- Del Debbio Giusti Lüscher Petronzio Tantalò JHEP0702:082,2007
Damgaard Splittorff Verbaarschot PRL 105:162002,2010
Akemann Damgaard Splittorff Verbaarschot PoS LATTICE2010 (2010) 079
Splittorff Verbaarschot PRD 84 (2011) 065031

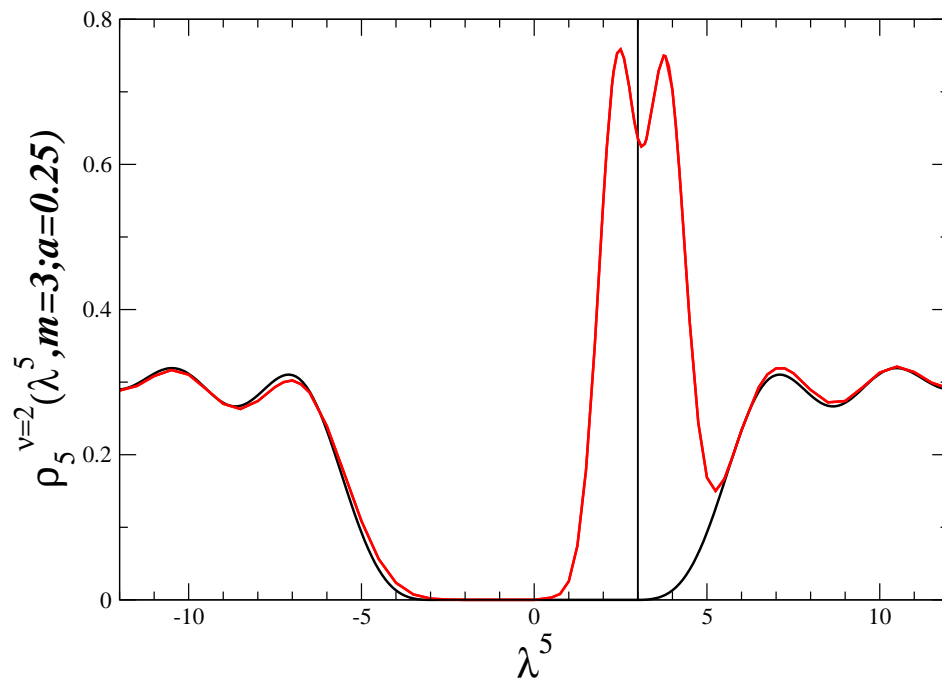
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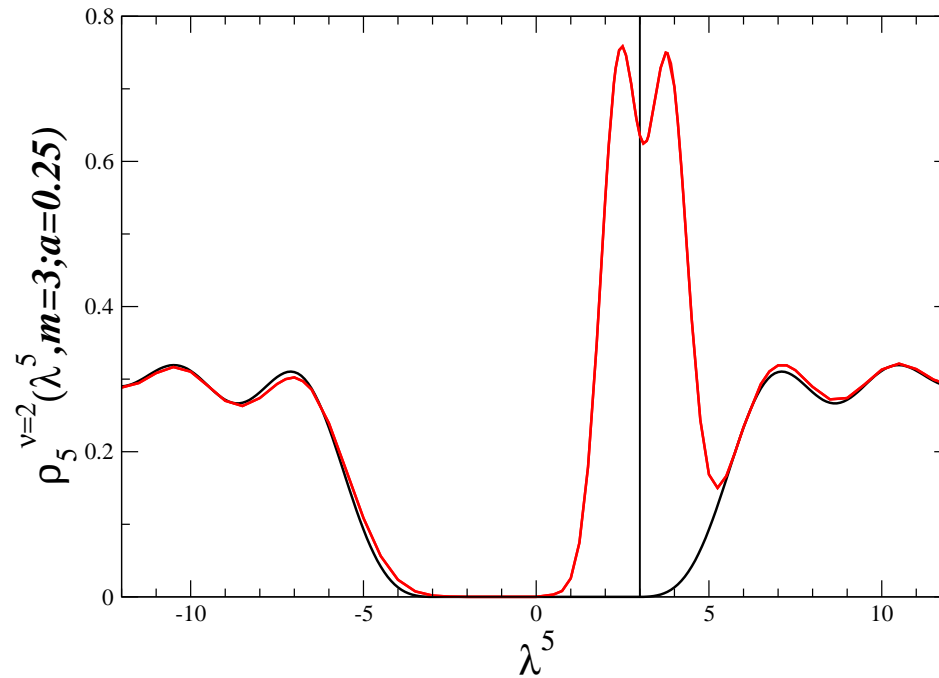
Spectrum of D_5

$$N_f = 2$$

$$m\Sigma V = 3$$

$$\nu = 2$$

$$a\sqrt{VW_8} = 0.25$$



Unquenched: $\rho_5(\lambda^5 = 0, m; a) = 0$ since

$$\det^2(D_W + m) = \det^2 D_5(m) = \prod_j \lambda_j^5(m)^2$$

Damgaard Splittorff Verbaarschot PRL 105:162002,2010

Akemann Damgaard Splittorff Verbaarschot PoS LATTICE2010 (2010) 079

Splittorff Verbaarschot PRD 84 (2011) 065031

Twisted mass

$$\begin{aligned}\det(D_W + m + iz_t \gamma_5 \tau_3) &= \det(D_5(m) + iz_t \tau_3) \\ &= \prod_j (\lambda_j^5(m) + iz_t)(\lambda_j^5(m) - iz_t) = \prod_j (\lambda_j^5(m)^2 + z_t^2)\end{aligned}$$

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Maximal twist ($m = 0$):

spectrum of $D_5(m = 0)$

$$\frac{d}{dz_t} \log Z(m = 0, z_t; a) = \int d\lambda^5 \frac{2z_t}{\lambda^{5^2} + z_t^2} \rho_5(\lambda^5, m = 0, z_t; a)$$

Banks-Casher relation

$$\Sigma = \frac{\pi \rho_5(\lambda^5 = 0; a)}{V}$$

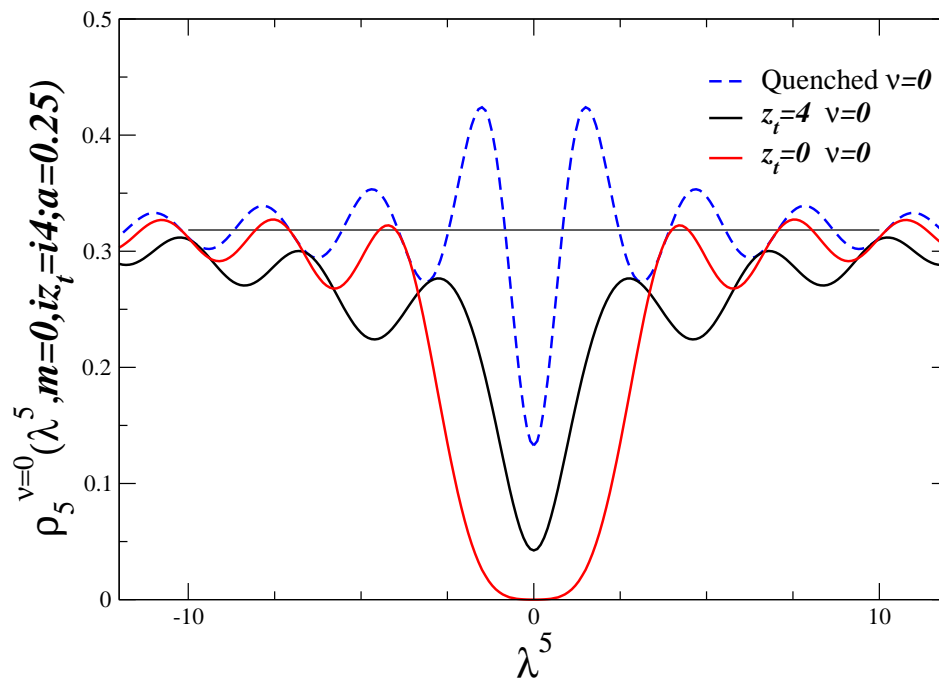
Frezzotti Grassi Sint Weisz JHEP 0108, 058 (2001)

Banks Casher NPB 169, 103 (1980)

Spectrum of D_5 at maximally twisted mass

$$\nu = 0$$

$$a\sqrt{VW_8} = 0.25$$



$$\prod_j (\lambda_j^5 (m=0)^2 + z_t^2)$$

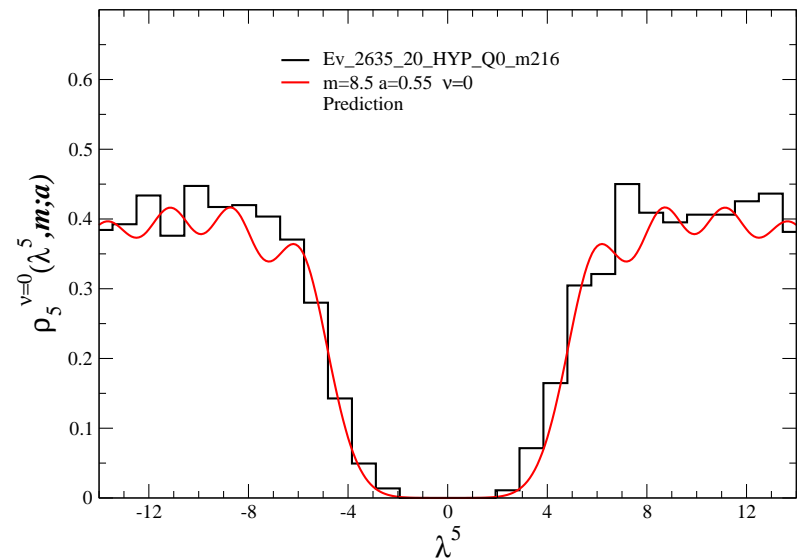
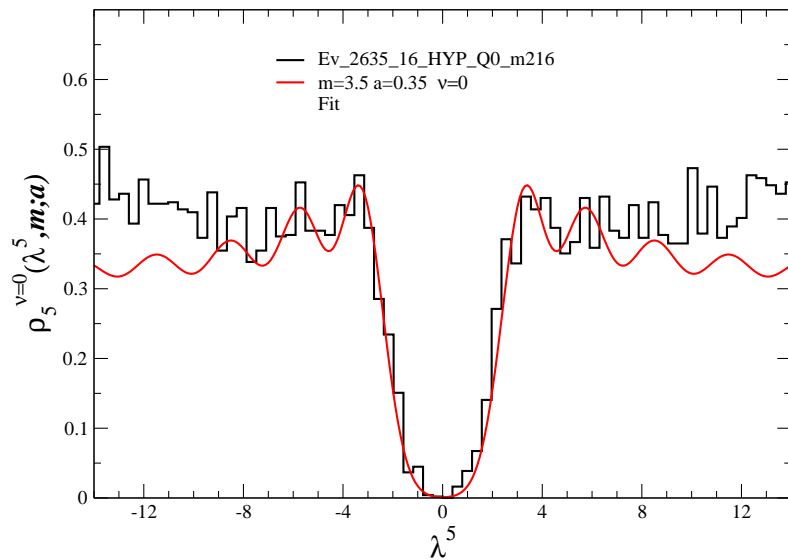
Splittorff Verbaarschot *to appear*

Does it work ?

Spectrum of D_5 for $N_f = 0$ on 16^4 and 20^4 lattice

Histograms: lattice

Curves: WCPT



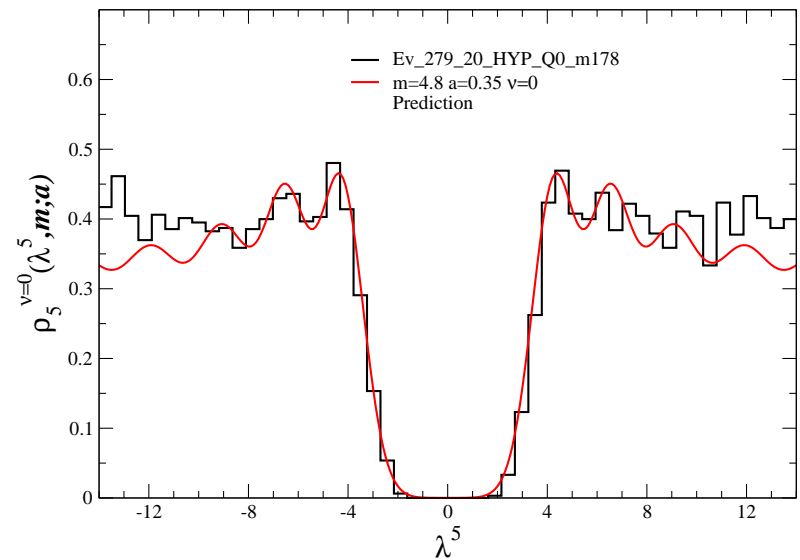
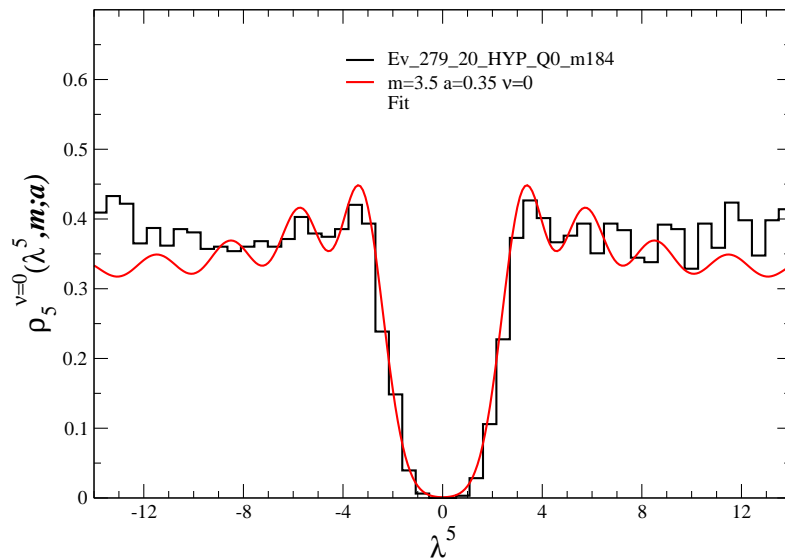
LHS fit (ΣV , $m\Sigma V$ and a_8) RHS prediction: Volume scaling

Damgaard Heller Splittorff arXiv:1110.2851

Spectrum of D_5 for $N_f = 0$ on 20^4 lattice smaller coupling

Histograms: lattice

Curves: WCPT



LHS fit (ΣV , $m\Sigma V$ and a_8) RHS prediction: mass scaling

Damgaard Heller Splittorff arXiv:1110.2851

Deuzeman Wenger Wuilloud arXiv:1110.4002

Wilson CPT

The chiral Lagrangian for Wilson fermions

$$\begin{aligned}\mathcal{L} = & \frac{F_\pi^2}{4} \text{Tr} (d_\mu U d_\mu U^\dagger) + \frac{m}{2} \Sigma \text{Tr}(U + U^\dagger) \\ & - a^2 W_6 [\text{Tr} (U + U^\dagger)]^2 - a^2 W_7 [\text{Tr} (U - U^\dagger)]^2 \\ & - a^2 W_8 \text{Tr}(U^2 + U^{\dagger 2})\end{aligned}$$

We used $W_6 = W_7 = 0$ and $W_8 > 0$

Sharpe Singleton PRD **58**, 074501 (1998)

Rupak Shores PRD **66**, 054503 (2002)

Aoki PRD 68:054508,2003

Bar Rupak Shores PRD **70**, 034508 (2004)

Sharpe Wu PRD **70**, 094029 (2004)

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Shindler PLB 672, 82 (2009)

Bar Necco Schaefer JHEP 0903, 006 (2009)

Bar Necco Shindler JHEP 1004:053,2010

The sign of W_8

$$\gamma_5\text{-Hermiticity} \Rightarrow \det^2(D_W + m) \geq 0$$

QCD inequality

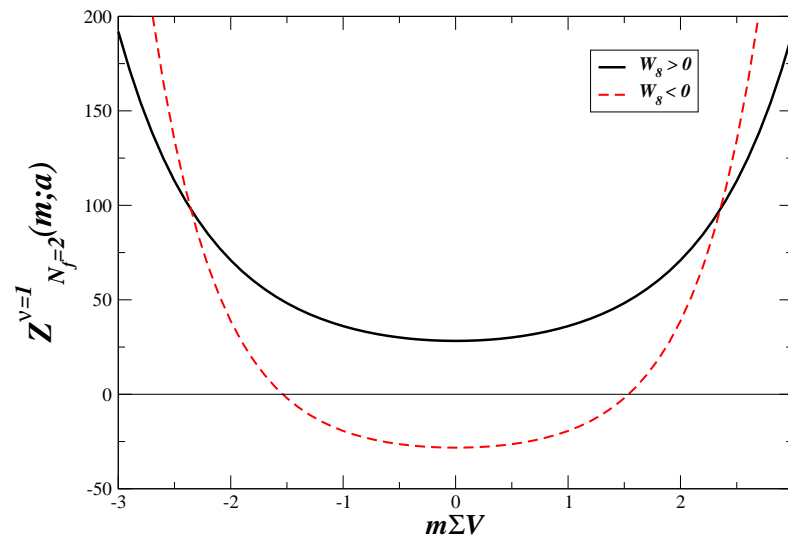
$$Z_{N_f=2}^\nu(m; a) \geq 0$$

The sign of W_8

$$\gamma_5\text{-Hermiticity} \Rightarrow \det^2(D_W + m) \geq 0$$

$$\text{QCD inequality} \quad Z_{N_f=2}^\nu(m; a) \geq 0$$

Only satisfied if $W_8 > 0$ (for $W_6 = W_7 = 0$)



$$a^2 V W_8 = 1 \text{ (full)}$$

$$a^2 V W_8 = -1 \text{ (dashed)}$$

Akemann Damgaard Splittorff Verbaarschot PRD 83 (2011) 085014

Hansen Sharpe arXiv:1111.2404

The sign of W_8

$$W_8 > 0$$

$W_8 < 0$: Wilson CPT with $W_8 < 0$ corresponds to an *anti-hermitian* D_W

The sign of W_8

$$W_8 > 0$$

$W_8 < 0$: Wilson CPT with $W_8 < 0$ corresponds to an *anti-hermitian* D_W

γ_5 -Hermitian

$$D_W = \frac{1}{2} \gamma_\mu (\nabla_\mu + \nabla_\mu^*) - \frac{ar}{2} \nabla_\mu \nabla_\mu^* \quad \Rightarrow \quad W_8 > 0$$

Anti-Hermitian (not γ_5 -Hermitian)

$$D_{OS} = \frac{1}{2} \gamma_\mu (\nabla_\mu + \nabla_\mu^*) - i \frac{ar}{2} \nabla_\mu \nabla_\mu^* \quad \Rightarrow \quad W_8 < 0$$

sign problem

Akemann Damgaard Splittorff Verbaarschot PRD 83 (2011) 085014

The sign of W_6 and W_7

$$W_6 < 0$$

$W_6 > 0$: lattice theory where the spectrum of D_W can fluctuate vertically

Not allowed by γ_5 hermiticity !

The sign of W_6 and W_7

$$W_6 < 0$$

$W_6 > 0$: lattice theory where the spectrum of D_W can fluctuate vertically

Not allowed by γ_5 hermiticity !

$$W_7 < 0$$

$W_7 > 0$: lattice theory where the spectrum of D_5 can fluctuate vertically

Not allowed by γ_5 hermiticity !

Splitterff Verbaarschot *to appear*

The sign of W_6 , W_7 and W_8

Constraints from γ_5 -Hermiticity

$$W_6 < 0, \quad W_7 < 0, \quad W_8 > 0$$

The sign of W_6 , W_7 and W_8

Constraints from γ_5 -Hermiticity

$$W_6 < 0, \quad W_7 < 0, \quad W_8 > 0$$

Aoki (2nd order PT)

$$W_8 + 2W_6 > 0$$

Sharpe Singleton (1st order PT)

$$W_8 + 2W_6 < 0$$

The sign of W_6 , W_7 and W_8

Constraints from γ_5 -Hermiticity

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Both allowed by γ_5 hermiticity !

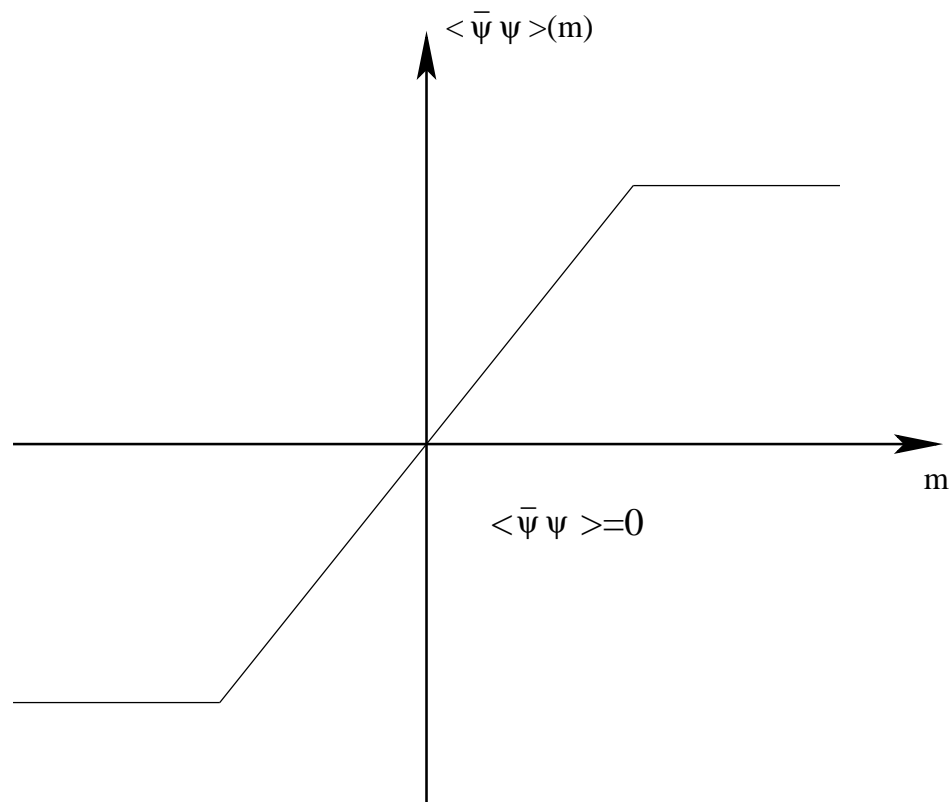
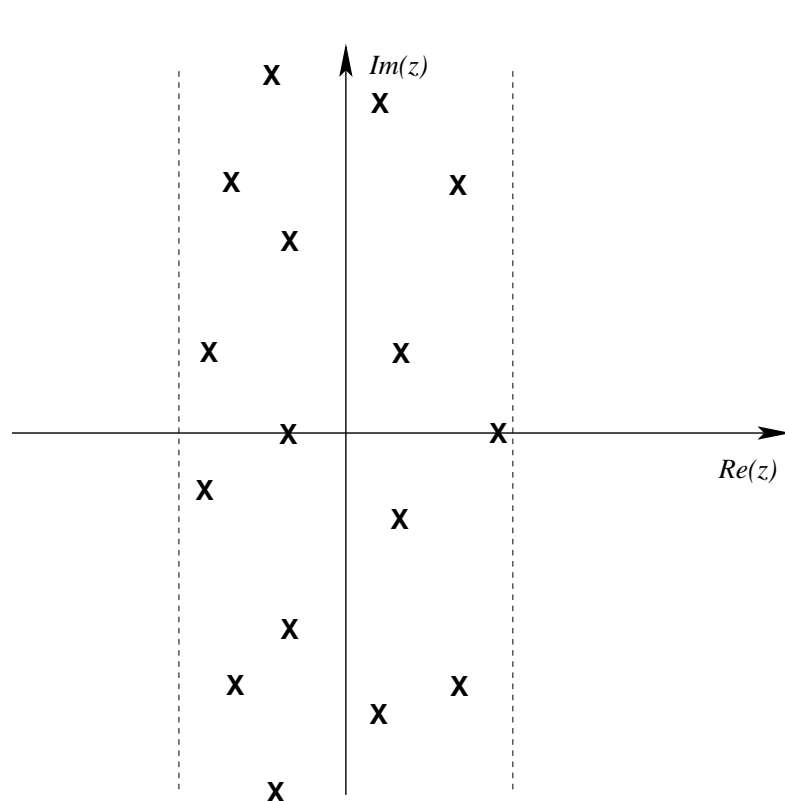
Farchioni et al. Eur.Phys.J.C47:453-472,2006

Baron et al. (ETM collab) JHEP08(2010)097

Bernardoni Bulava Sommer arXiv:1111.4351

Aoki phase

$$W_8 + 2W_6 > 0$$



Electrostatic analogy:

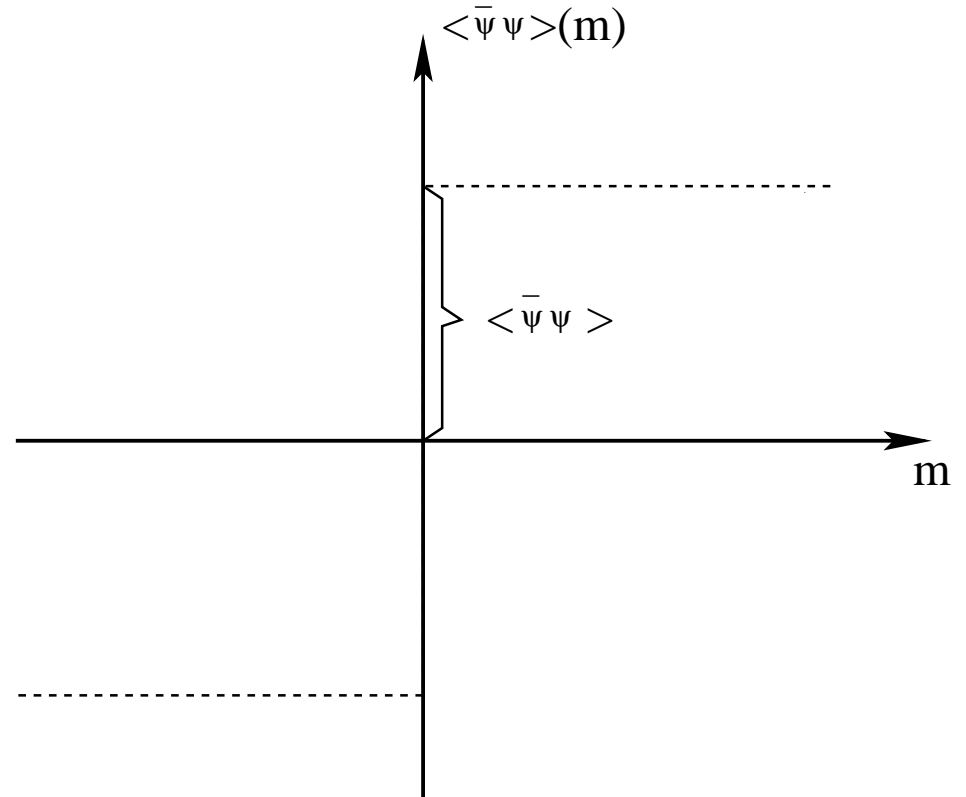
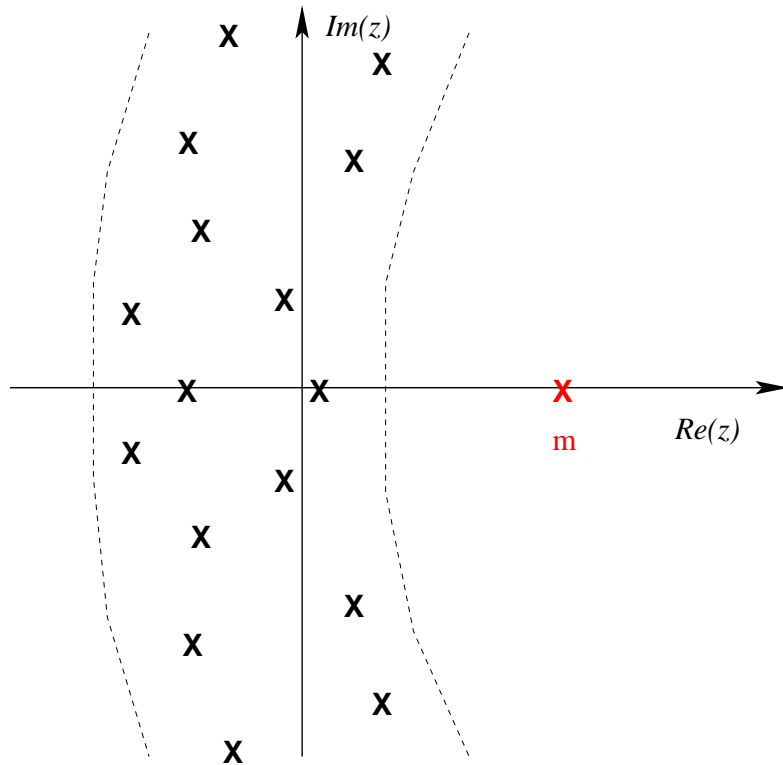
Eigenvalues = charges, quark mass = test charge

Aoki PRD 30 2653 (1984)

Barbour et al. NPB 275 (1986) 296 (nonzero μ)

Sharpe Singleton

$$W_8 + 2W_6 < 0$$



$W_6 < 0$ prefers the same signs of all $Re[z]$

Splittorff Verbaarschot *to appear*

Quenched and unquenched condensate

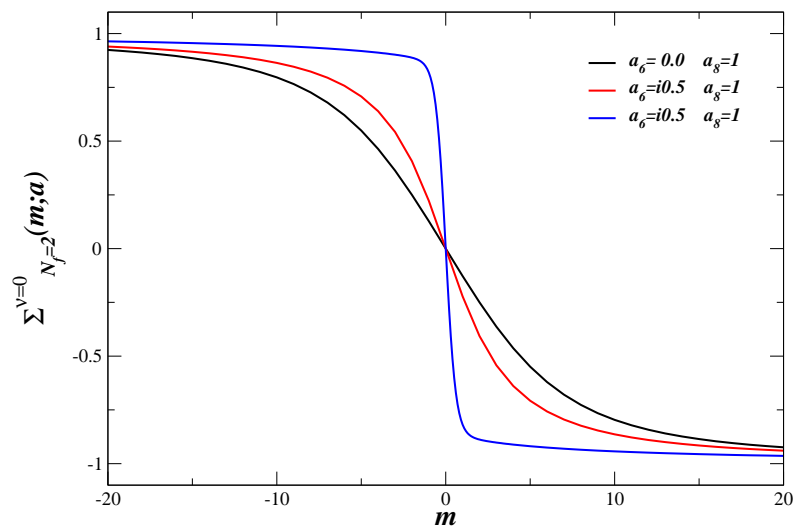
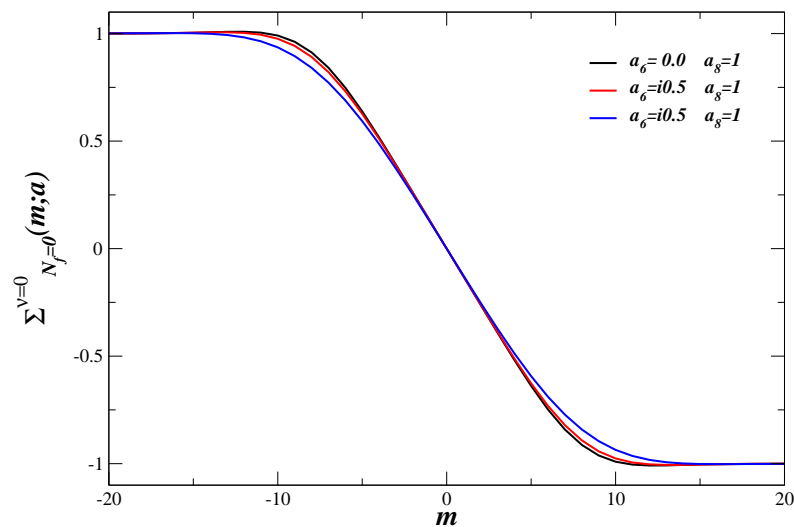
$$W_8 + 2W_6 > 0$$

$$W_8 + 2W_6 = 0$$

$$W_8 + 2W_6 < 0$$

Quenched

$$N_f = 2$$



Sharpe Singleton

only for $N_f > 0$

Splittorff Verbaarschot *to appear*

Conclusions

Derived the microscopic eigenvalue density from WCPT

- for the real eigenvalues of D_W
- for $D_5 = \gamma_5(D_W + m)$ (also for twisted mass)

in sectors with fixed index of D_W

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More: Unquenched lattice, individual eigenvalues, complex eigenvalues of D_W , dist of chirality ... *suggestions are welcome!*

Akemann, Nagao arXiv:1108.3035

Kieburg, Verbaarschot, Zafeiropoulos arXiv:1109.0656

Additional slides

Quenched microscopic density of $D_5 = \gamma_5(D_W + m)$

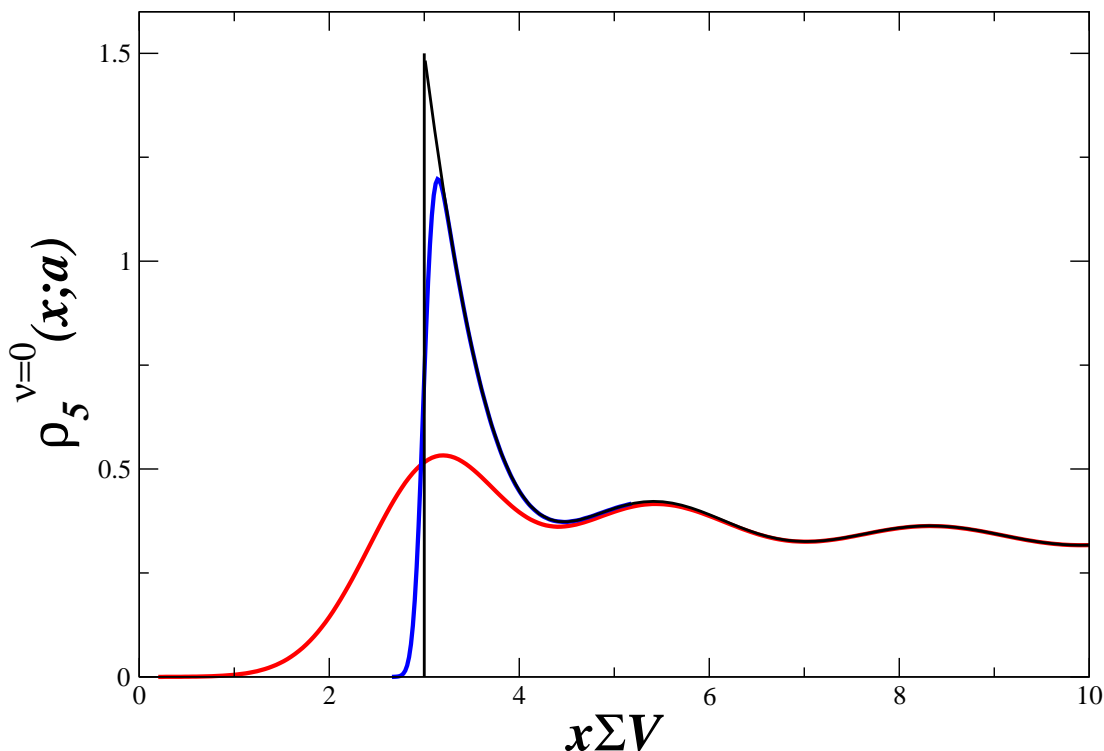
Sector $\nu = 0$

$$m\Sigma V = 3$$

$$a\sqrt{W_8V} = 0$$

$$a\sqrt{W_8V} = 0.03$$

$$a\sqrt{W_8V} = 0.250$$



For $\nu = 0$ the density is symmetric: $\rho_5^{\nu=0}(x; a) = \rho_5^{\nu=0}(-x; a)$

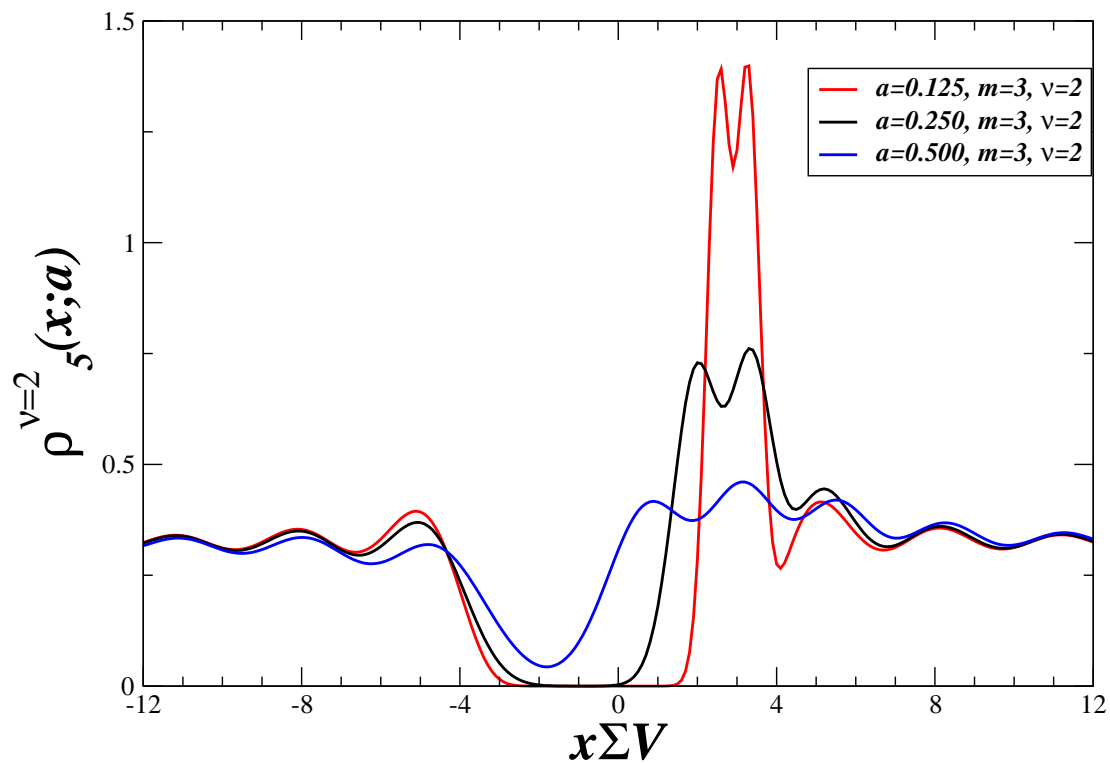
Damgaard Splittorff Verbaarschot PRL 105:162002,2010

Akemann Damgaard Splittorff Verbaarschot PRD 83 (2011) 085014

Quenched microscopic density of $D_5 = \gamma_5(D_W + m)$

Sector $\nu = 2$ increasing $a\sqrt{W_8V}$

$m\Sigma V = 3$

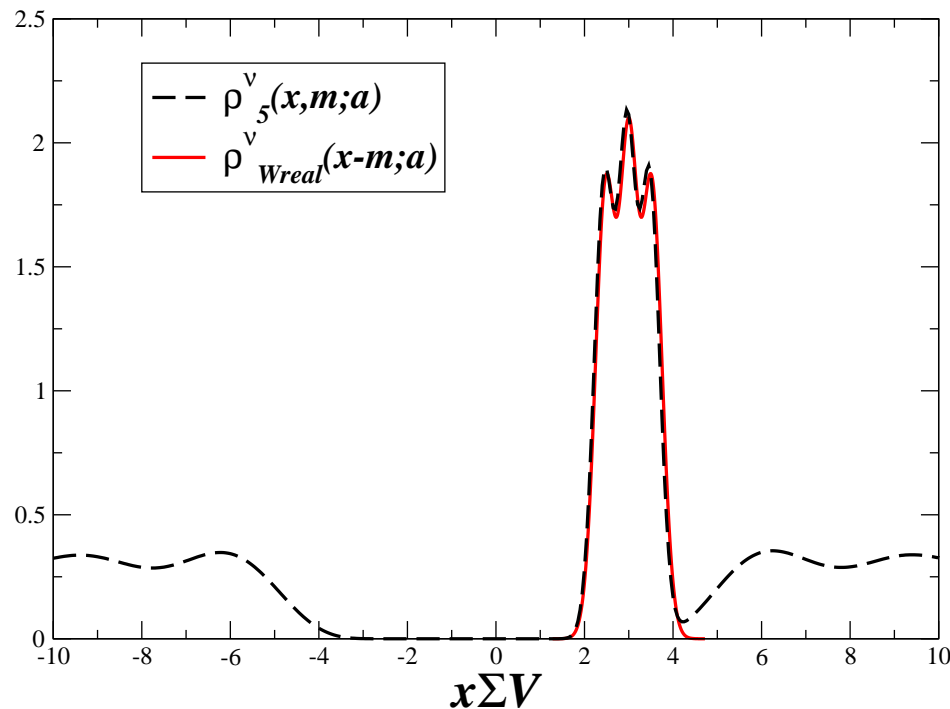


Quenched microscopic density of D_5 and D_W

Sector $\nu = 3$:

$$a\sqrt{W_8V} = 0.1$$

$$m\Sigma V = 3$$



The real modes, ϕ , of D_W are almost chiral: $\phi^\dagger \gamma_5 \phi \simeq 1$

Itho Iwasaki Yoshie PRD 36 (1987) 527

Gattringer Hip Lang NPB 508 (1997) 329

Gattringer Hip NPB 536 (1998) 363

Hernandez NPB 536 (1998) 345

Akemann Damgaard Splittorff Verbaarschot PRD 83 (2011) 085014

W_6 and W_7

The double-trace terms re-expressed as gaussian integrals

$$Z_{N_f}^\nu(m, x; a_6, a_8) = \frac{1}{4\sqrt{\pi}a_6} \int_{-\infty}^{\infty} dy e^{-\frac{y^2}{16|a_6^2|}} Z_{N_f}^\nu(m + y, x; a_6 = 0, a_8)$$

where $a_6 = a\sqrt{W_6V}$ and $a_8 = a\sqrt{W_8V}$

W_6 and W_7

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W_7 averaged x instead of m

RMT for Wilson Lattice QCD

Properties of the Wilson Dirac operator

γ_5 -Hermiticity

$$D^\dagger = \gamma_5 D \gamma_5$$

Properties of the Wilson Dirac operator

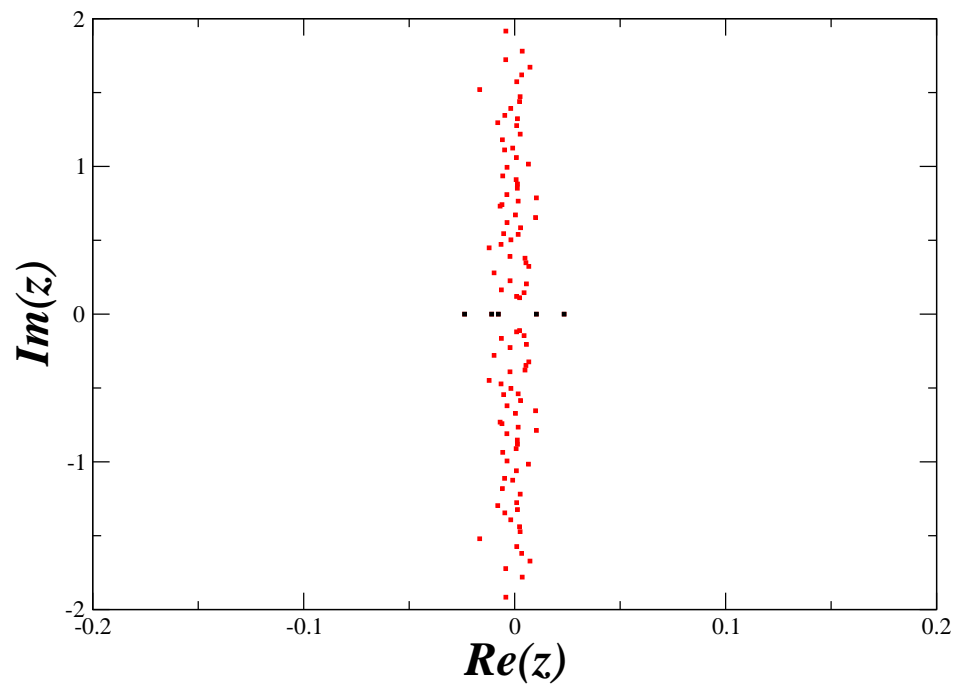
γ_5 -Hermiticity

$$D^\dagger = \gamma_5 D \gamma_5$$

$$D = \begin{pmatrix} aA & iW \\ iW^\dagger & aB \end{pmatrix}$$

A ($N \times N$) and B ($(N + \nu) \times (N + \nu)$) are hermitian
 W is a general complex matrix

The spectrum of one Random Matrix



Damgaard Splittorff Verbaarschot PRL 105:162002,2010

The Wilson RMT partition function

$$\mathcal{Z}_{N_f}^\nu \equiv \int dW dA dB \det(D + m)^{N_f} e^{-\frac{N}{2} \text{Tr}(A^2 + B^2) - N \text{Tr} W^\dagger W}$$

where

$$D + m = \begin{pmatrix} aA + m & iW \\ iW^\dagger & aB + m \end{pmatrix}$$

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$$D + m = \begin{pmatrix} aA + m & iW \\ iW^\dagger & aB + m \end{pmatrix}$$

Same low energy theory in the ϵ -regime

Shuryak, Verbaarschot, NPA **560**, 306 (1993), Verbaarschot, PRL **72**, 2531 (1994)

Damgaard Splittorff Verbaarschot PRL **105**:162002,2010

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where

$$D + m = \begin{pmatrix} aA + m & iW \\ iW^\dagger & aB + m \end{pmatrix}$$

same flavor symmetries as QCD and same breaking by m and a

$$\mathcal{Z}_{N_f}^\nu = \int_{U(N_f)} dU \det^\nu(U) e^{Nm \text{Tr}(U + U^\dagger) - \frac{Na^2}{2} \text{Tr}(U^2 + U^{\dagger 2})}$$

for $N \rightarrow \infty$ with mN and $a^2 N$ fixed

Shuryak Verbaarschot NPA **560**, 306 (1993), Verbaarschot PRL **72**, 2531 (1994)

Damgaard Splittorff Verbaarschot PRL **105**:162002,2010

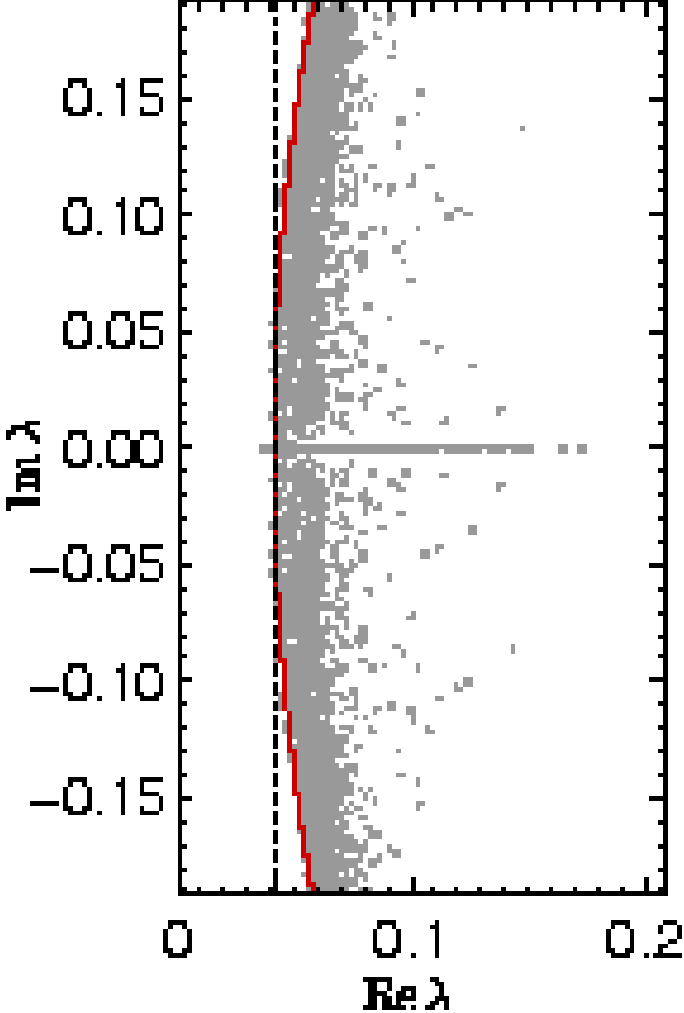
Why Wilson RMT

Usually: *easier to compute spectral correlation functions with RMT than the SUSY method*

- any N_f
- higher order correlation functions
- individual eigenvalue distributions

Splitdorff Verbaarschot arXiv:to.appear

Lattice I



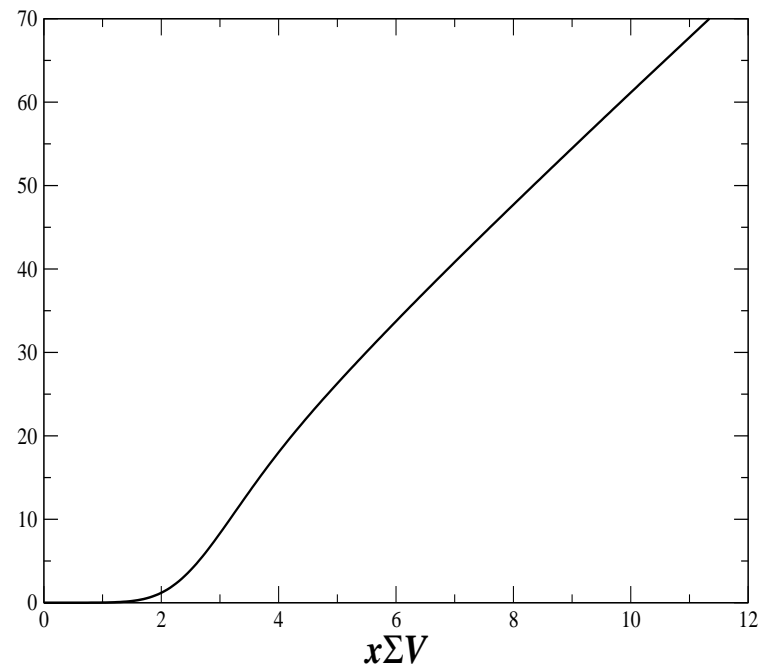
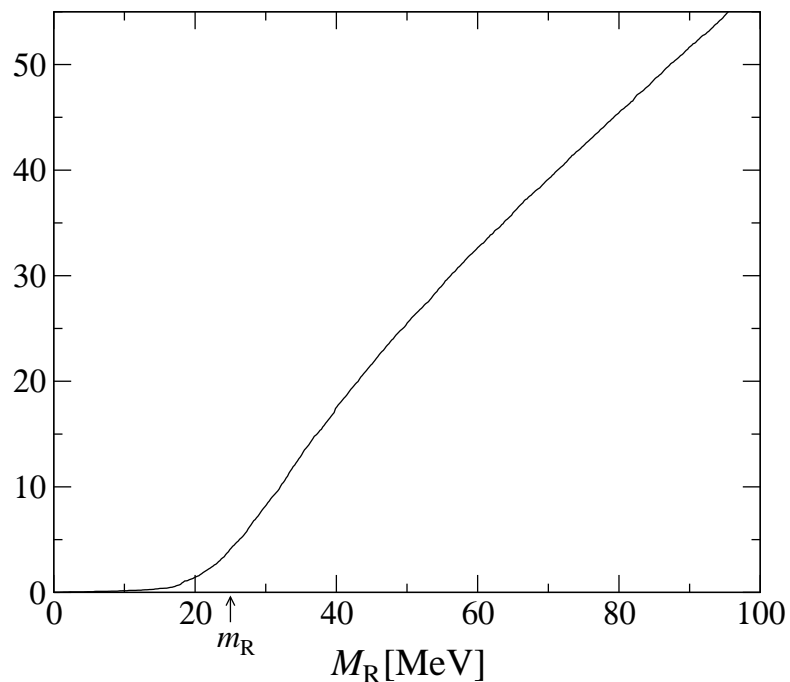
Hasenfratz Hoffmann Schaefer JHEP0705:029,2007

Spectrum of D_5 for $N_f = 0$

- *integrated up from zero & summed over the index*

Lattice 64×32^3 $a \simeq 0.07 fm$

WCPT ($m\Sigma V = 3$, $a_8 = 0.2$)



Lüscher Palombi JHEP09(2010)110 Akemann Damgaard Splittorff Verbaarschot PRD 83 (2011) 085014

Necco Shindler arXiv:1101.1778