

Chiral symmetry breaking and the Banks–Casher relation on the lattice

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Based on: L. G. and M. Lüscher JHEP 0903 (2009) 013 [[arXiv:0812.3638](https://arxiv.org/abs/0812.3638)]

Outline

- Introduction
- Banks-Casher relation
- Renormalization of the spectral density
- Spectral density in ChPT
- Computation of the mode number
- Exploratory numerical study
- Conclusions and outlook

- QCD action for $N_f = 3$, $M = \text{diag}(m_u, m_d, m_s)$

$$S = S_G + \int d^4x \left\{ \bar{\psi} D \psi + \bar{\psi} M \psi \right\}, \quad D = \gamma_\mu (\partial_\mu + i A_\mu)$$

- For $M = 0$ chiral symmetry

$$\psi_{R,L} \rightarrow V_{R,L} \psi_{R,L} \quad \psi_{R,L} = \left(\frac{1 \pm \gamma_5}{2} \right) \psi$$

Chiral anomaly: measure not invariant

SSB: vacuum not symmetric

- Gauge symmetry

$$\psi(x) \rightarrow G(x) \psi(x)$$

Confinement: no isolated coloured charge

$$SU(3)_c \times SU(3)_L \times SU(3)_R \times U(1)_L \times U(1)_R \times \mathcal{R}_{\text{scale}}$$

(dim. transm., chiral anomaly)

$$SU(3)_c \times SU(3)_L \times SU(3)_R \times U(1)_{B=L+R}$$

(Spont. Sym. Break.)

$$SU(3)_c \times SU(3)_{L+R} \times U(1)_B$$

(Confinement)

$$SU(3)_{L+R} \times U(1)_B$$

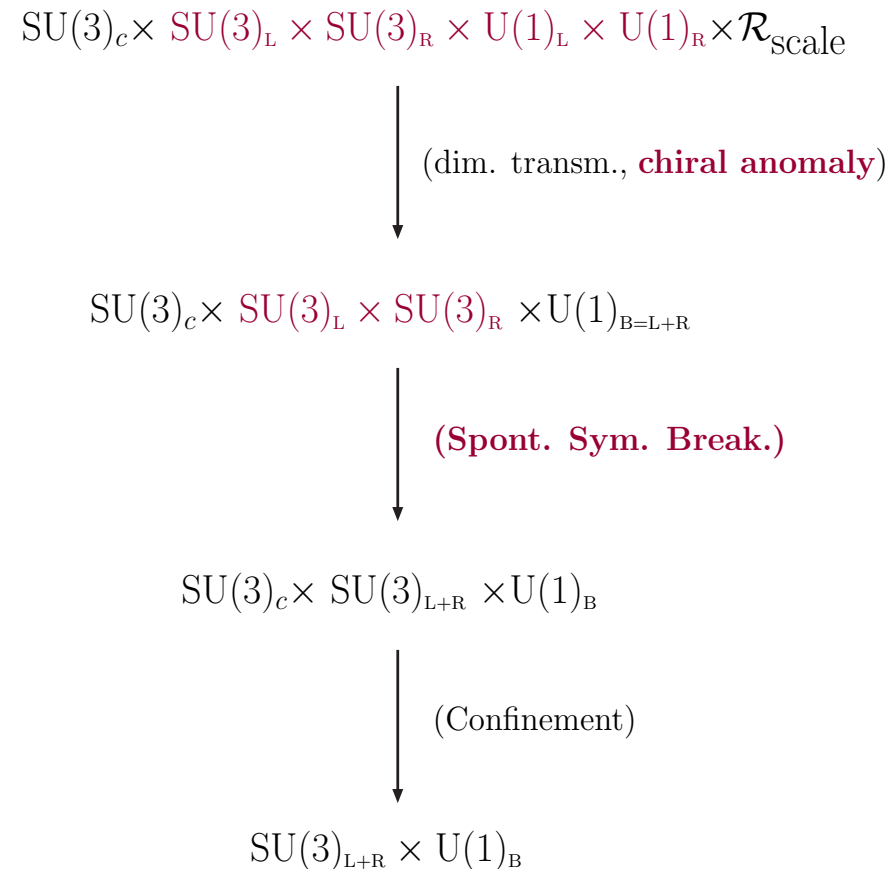
- QCD action for $N_f = 3$, $M = \text{diag}(m_u, m_d, m_s)$

$$S = S_G + \int d^4x \left\{ \bar{\psi} D \psi + \bar{\psi} M \psi \right\}, \quad D = \gamma_\mu (\partial_\mu + i A_\mu)$$

- Confinement and SSB due to non-perturbative dynamics

- The mechanisms are still not know

- Today focus on SSB



- An axial Ward identity of the chiral group is [for simplicity $M = \text{diag}(m, m, m)$]

$$\langle \bar{\psi}_1 \psi_1 \rangle = m \int d^4x \langle P_{12}(x) P_{21}(0) \rangle, \quad P_{ij} = \bar{\psi}_i \gamma_5 \psi_j$$

- In the limit $m \rightarrow 0$

$$\Sigma = - \lim_{m \rightarrow 0} \langle \bar{\psi}_1 \psi_1 \rangle \neq 0 \quad \Longrightarrow \quad M^2 = \frac{2m\Sigma}{F^2} \quad [\text{Gell-Mann, Oakes, Renner 68}]$$

where the decay constant is defined as

$$|\langle 0 | \hat{A}_{12,\mu} | \pi^-, p \rangle| = \sqrt{2} F_\pi p_\mu, \quad F = \lim_{m \rightarrow 0} F_\pi$$

Light pseudoscalar meson spectrum

- Octet compatible with SSB pattern

$$SU(3)_L \times SU(3)_R \rightarrow SU(3)_{L+R}$$

and soft explicit symmetry breaking

$$m_u, m_d \ll m_s < \Lambda$$

- $m_u, m_d \ll m_s \implies m_\pi \ll m_K$

- A 9th pseudoscalar with $m_{\eta'} \sim \mathcal{O}(\Lambda)$

I	I ₃	S	Meson	Quark Content	Mass (GeV)
1	1	0	π^+	$u\bar{d}$	0.140
1	-1	0	π^-	$d\bar{u}$	0.140
1	0	0	π^0	$(d\bar{d} - u\bar{u})/\sqrt{2}$	0.135
$\frac{1}{2}$	$\frac{1}{2}$	+1	K^+	$u\bar{s}$	0.494
$\frac{1}{2}$	$-\frac{1}{2}$	+1	K^0	$d\bar{s}$	0.498
$\frac{1}{2}$	$-\frac{1}{2}$	-1	K^-	$s\bar{u}$	0.494
$\frac{1}{2}$	$\frac{1}{2}$	-1	\bar{K}^0	$s\bar{d}$	0.498
0	0	0	η	$\cos \vartheta \eta_8 - \sin \vartheta \eta_0$	0.548
0	0	0	η'	$\sin \vartheta \eta_8 + \cos \vartheta \eta_0$	0.958

$$\eta_8 = (d\bar{d} + u\bar{u} - 2s\bar{s})/\sqrt{6}$$

$$\eta_0 = (d\bar{d} + u\bar{u} + s\bar{s})/\sqrt{3}$$

$$\vartheta \sim -10^\circ$$

● Chiral effective theory for pions

$$S_{\text{eff}} = S_{\text{eff}}^2(U; m, F, \Sigma) + S_{\text{eff}}^4(U; m, F, \Sigma, \Lambda_i) + \dots$$

encodes spontaneous symmetry breaking

● For $m = 0$ pions can interact only if they carry momentum. Expansion in p and m

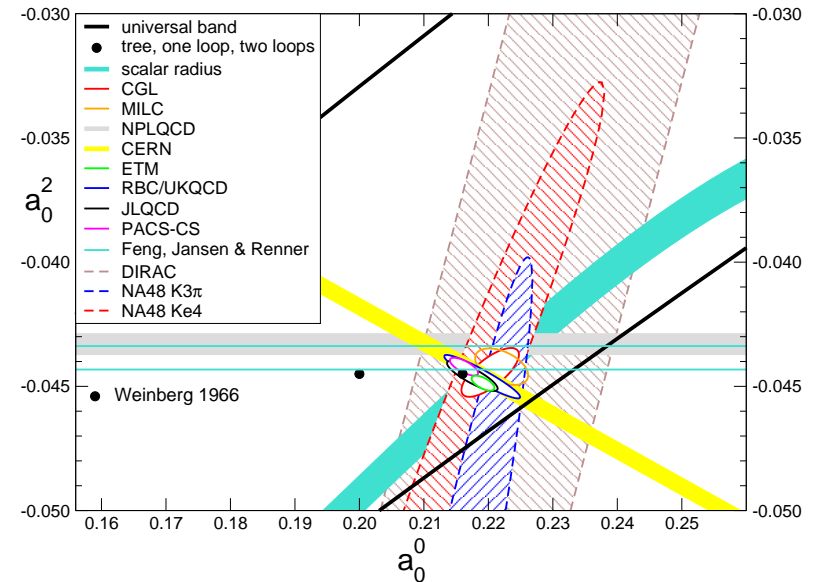
● Chiral dynamics parameterized by effective low-energy coupling constants

● For instance the pion mass and decay constant at $\mathcal{O}(p^4)$ are given by

$$M_\pi^2 = M^2 \left\{ 1 + \frac{M^2}{32\pi^2 F^2} \ln \left(\frac{M^2}{\Lambda_3^2} \right) \right\}, \quad F_\pi = F \left\{ 1 - \frac{M^2}{16\pi^2 F^2} \ln \left(\frac{M^2}{\Lambda_4^2} \right) \right\}$$

Analogous expressions for other quantities such as S-wave $\pi\pi$ scattering lengths a_0^0 and a_0^2

[Colangelo, Gasser, Leutwyler 01; Leutwyler 09]



Lattice QCD: action [Wilson 74]

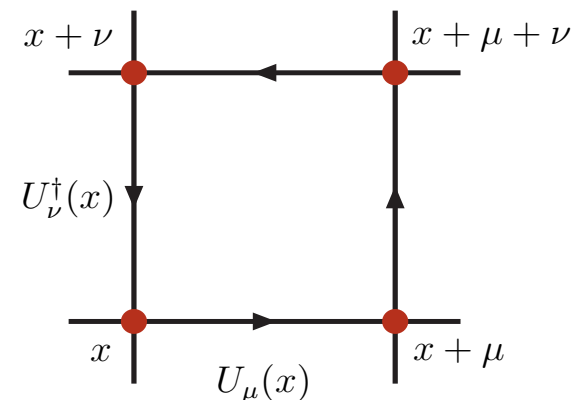
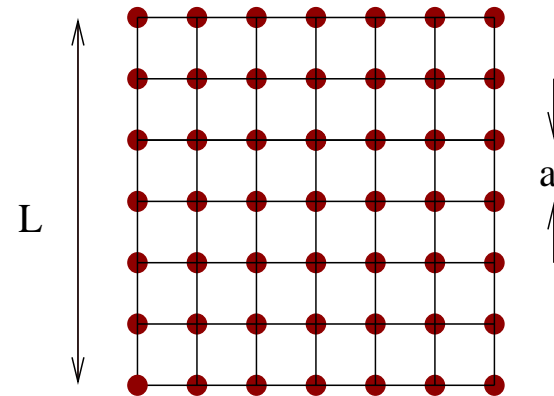
- QCD can be defined on a discretized space-time so that **gauge invariance is preserved**
- Quark fields reside on a four-dimensional lattice, the gauge field $U_\mu \in \text{SU}(3)$ resides on links
- The Wilson action for the gauge field is

$$S_G[U] = \frac{\beta}{2} \sum_x \sum_{\mu, \nu} \left[1 - \frac{1}{3} \text{ReTr} \left\{ U_{\mu\nu}(x) \right\} \right]$$

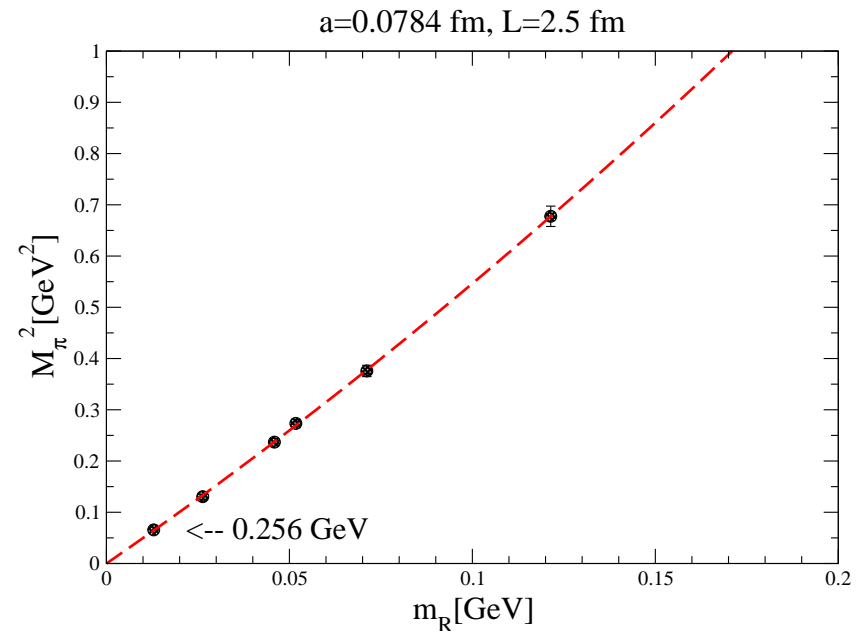
where $\beta = 6/g^2$ and the plaquette is defined as

$$U_{\mu\nu}(x) = U_\mu(x) U_\nu(x + \hat{\mu}) U_\mu^\dagger(x + \hat{\nu}) U_\nu^\dagger(x)$$

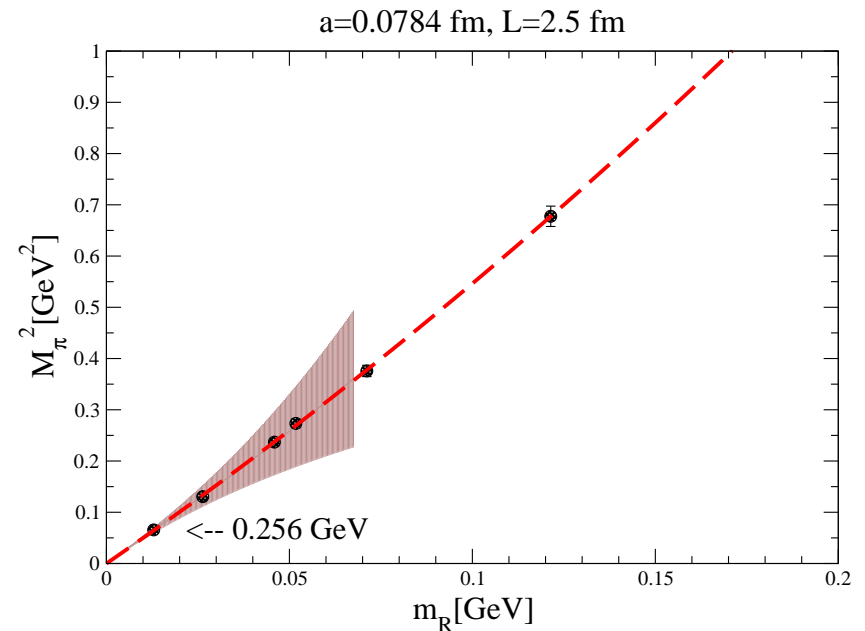
- Popular discretizations of fermion action: Wilson, Domain-Wall-Neuberger, perfect actions, tmQCD



- Chiral regime is becoming accessible to lattice QCD simulations
- The pion mass squared is found to be a nearly linear function of quark mass up to $(0.5 \text{ GeV})^2$. At smallest masses non-linear correction is 1 - 3%
- Non-Abelian chiral symmetry spontaneously broken as expected
- Compatible with the fact that the bulk of the mass is given by the leading term in standard ChPT
- Relations dictated by SSB can be verified quantitatively. GMOR is maybe the simplest to start with

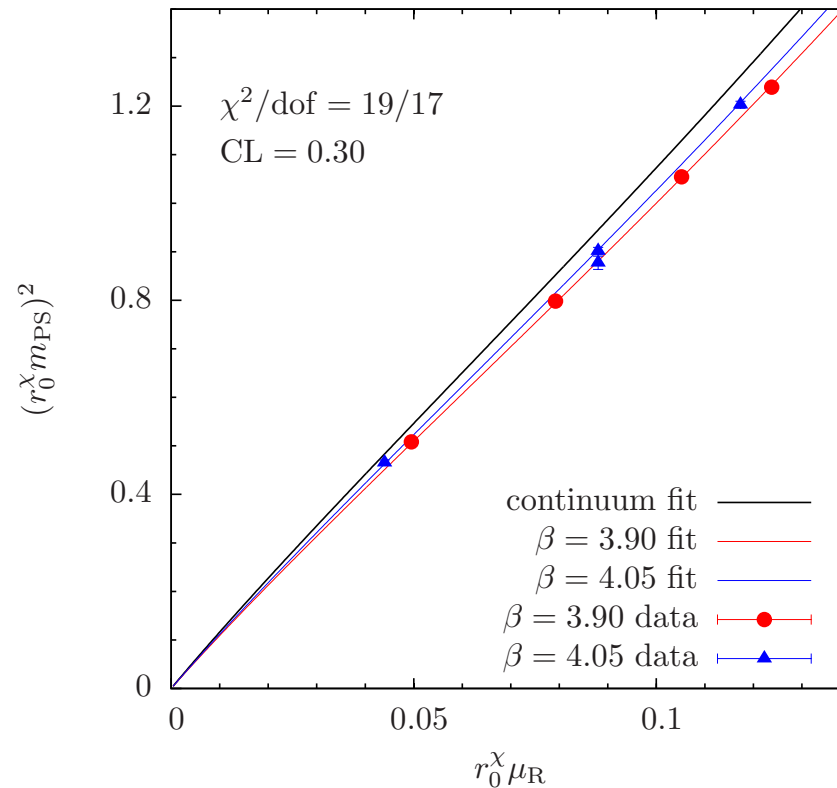


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- Low-energy constants will finally be determined. As an example of the potentiality, from a fit to the curve

$$0.47 \leq \Lambda_3 \leq 0.86 \text{ GeV} \quad \text{to be compared with} \quad 0.2 \leq \Lambda_3 \leq 2 \text{ GeV} \quad [\text{Gasser, Leutwyler 84}]$$



- A linear behaviour observed with different regularizations, different algorithms, etc.
- By assuming the standard ChPT formula, from an overall fit of the pion mass and decay constant the ETM collaboration obtains

$$\left[\Sigma_{\text{R}}^{\overline{\text{MS}}}(2 \text{ GeV}) \right]_{\text{GMOR}}^{1/3} = 0.270(8) \text{ GeV} \quad 0.69 \leq \Lambda_3 \leq 0.93 \text{ GeV}$$

Banks–Casher relation [Banks, Casher 80]

- For each gauge configuration

$$D_m \chi_k = (m + i\lambda_k) \chi_k$$

- The spectral density of D is

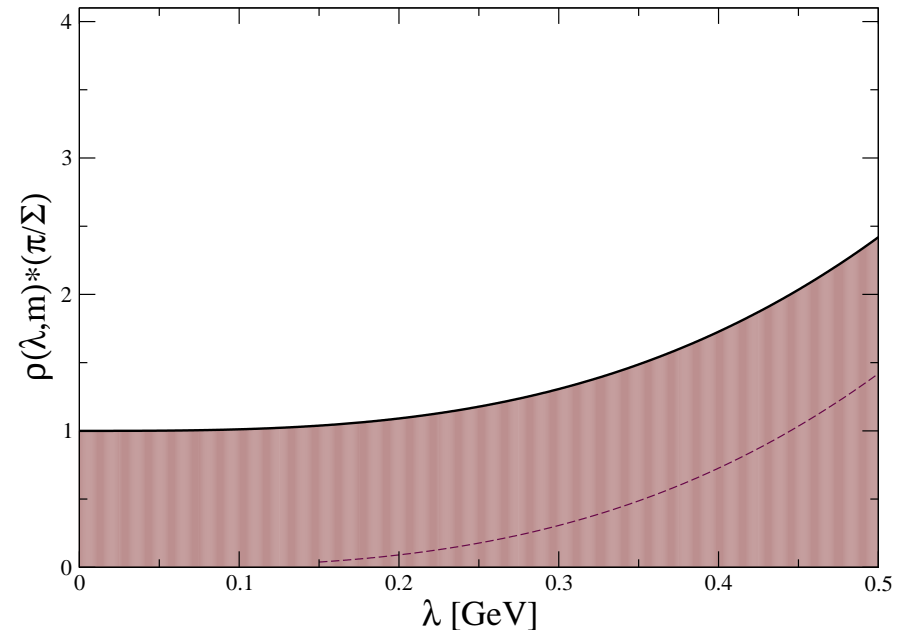
$$\rho(\lambda, m) = \frac{1}{V} \sum_k \langle \delta(\lambda - \lambda_k) \rangle$$

where $\langle \dots \rangle$ indicates path-integral average

- The Banks–Casher relation

$$\lim_{\lambda \rightarrow 0} \lim_{m \rightarrow 0} \lim_{V \rightarrow \infty} \rho(\lambda, m) = \frac{\Sigma}{\pi}$$

provides a link between the condensate and the (non-zero) spectral density at the origin.
To be compared, for instance, with the free case $\rho(\lambda) \propto |\lambda^3|$



Banks–Casher relation [Banks, Casher 80]

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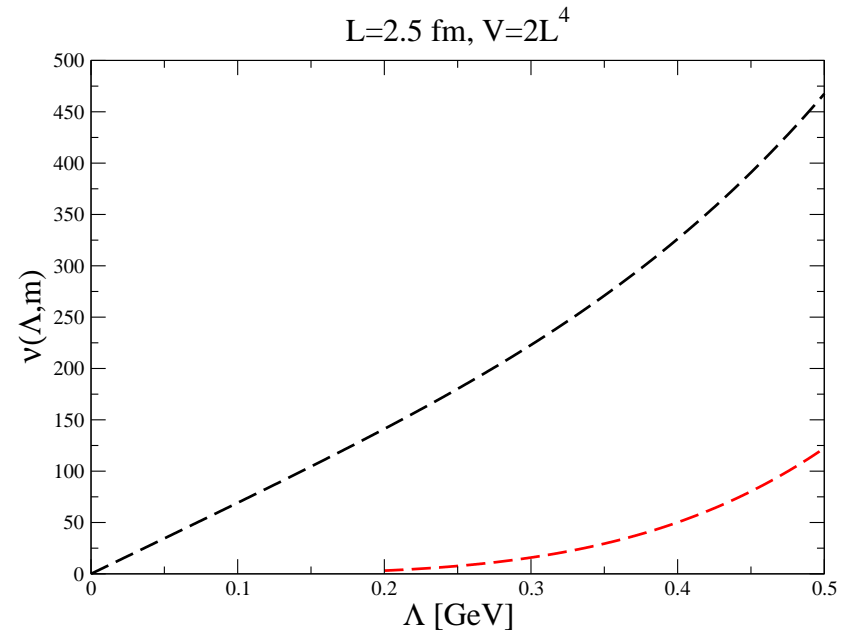
- The number of modes in a given energy interval

$$\nu(\Lambda, m) = V \int_{-\Lambda}^{\Lambda} d\lambda \rho(\lambda, m)$$

$$\nu(\Lambda, m) = \frac{2}{\pi} \Lambda \Sigma V + \dots$$

grows linearly with Λ , and they condense near the origin with values $\propto 1/V$

In the free case $\nu(\Lambda, m) \propto V \Lambda^4$



- Instead of the spectral density, consider the spectral sum

$$\begin{aligned}\sigma_k(m_\nu, m) &= V \int_{-\infty}^{\infty} d\lambda \frac{\rho(\lambda, m)}{(\lambda^2 + m_\nu^2)^k} \\ &= -a^{8k} \sum_{x_1 \dots x_{2k}} \langle P_{12}(x_1) P_{23}(x_2) \dots P_{2k1}(x_{2k}) \rangle\end{aligned}$$

- * Integral converges if $k \geq 3$
- * The relation between $\sigma_k(m_\nu, m)$ and $\rho(\lambda, m)$ invertible for every k

- Renormalization properties of $\rho(\lambda, m)$ can thus be inferred from those of σ_k

- Instead of the spectral density, consider the spectral sum

$$\begin{aligned}\sigma_k(m_v, m) &= V \int_{-\infty}^{\infty} d\lambda \frac{\rho(\lambda, m)}{(\lambda^2 + m_v^2)^k} \\ &= -a^{8k} \sum_{x_1 \dots x_{2k}} \langle P_{12}(x_1) P_{23}(x_2) \dots P_{2k1}(x_{2k}) \rangle\end{aligned}$$

- Corr. functions of pseudoscalar densities at physical distance renormalized by $(1/Z_m)^{2k}$
- At short distance the flavour structure implies

$$P_{12}(x_1) P_{23}(x_2) \sim C(x_1 - x_2) S_{13}(x_1) \quad S_{13} = \bar{\psi}_1 \psi_3$$

where $C(x)$ diverges like $|x|^{-3}$ and it is therefore integrable. Analogous argument for all other short-distance singularities. No extra contact terms needed to renormalize σ_k

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- Once the gauge coupling and the mass(es) are renormalized, the spectral sum

$$\sigma_{k,R}(m_{\nu R}, m_R) = Z_m^{-2k} \sigma_k \left(\frac{m_{\nu R}}{Z_m}, \frac{m_R}{Z_m} \right)$$

is ultraviolet finite. Continuum limit universal (if same renormalization conditions are used)

- Instead of the spectral density, consider the spectral sum

$$\begin{aligned}\sigma_k(m_\nu, m) &= V \int_{-\infty}^{\infty} d\lambda \frac{\rho(\lambda, m)}{(\lambda^2 + m_\nu^2)^k} \\ &= -a^{8k} \sum_{x_1 \dots x_{2k}} \langle P_{12}(x_1) P_{23}(x_2) \dots P_{2k1}(x_{2k}) \rangle\end{aligned}$$

- The spectral density thus renormalizes as

$$\rho_R(\lambda_R, m_R) = Z_m^{-1} \rho\left(\frac{\lambda_R}{Z_m}, \frac{m_R}{Z_m}\right)$$

- If we introduce k doublets of twisted-mass valence quarks with Dirac operator

$$D_{\text{tm}} = D_m + i\mu\gamma_5\tau^3, \quad P_{ij}^\pm = \bar{\psi}_i\gamma_5\tau^\pm\psi_j$$

the spectral sum is given by

$$\sigma_k(\sqrt{m^2 + \mu^2}, m) = -a^{8k} \sum_{x_1, \dots, x_{2k}} \left\langle P_{12}^+(x_1)P_{23}^-(x_2) \dots P_{(2k-1)(2k)}^+(x_{2k-1})P_{(2k)1}^-(x_{2k}) \right\rangle$$

thanks to the fact that for tm quarks the (modulo) square of the quark propagator is

$$(D_m^\dagger D_m + \mu^2)^{-1}$$

- As before the short-distance singularities are integrable ($x_1 \rightarrow x_2$)

$$P_{12}^+(x_1)P_{23}^-(x_2) \sim \mathcal{C}(x_1 - x_2)S_{13}^\pm(x_1), \quad S_{13}^\pm = \bar{\psi}_1\tau^\pm\psi_3$$

since by power counting $\mathcal{C}(x)$ diverges like $|x|^{-3}$

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$$(D_m^\dagger D_m + \mu^2)^{-1}$$

- In this case the spectral density is the one associated to

$$D_m^\dagger D_m \chi_k = \alpha_k \chi_k$$

and once the continuum limit is taken we can interpret $\alpha = \lambda^2 + m^2$

Extracting Σ from the spectral density

- The dynamical properties of the theory are encoded in $\rho(\lambda, m)$
- The power divergences in the chiral condensate

$$\Sigma(m_v, m) = \int_{-\infty}^{\infty} d\lambda \frac{\rho(\lambda, m)}{i\lambda + m_v} = 2m_v \int_0^{\infty} d\lambda \frac{\rho(\lambda, m)}{\lambda^2 + m_v^2}$$

do not originate from the spectral density itself but from the particular integral taken

- Note that if $\rho(\lambda, m)$ is extracted from

$$2\pi\rho(\lambda, m) = \lim_{\epsilon \rightarrow 0} \left[\Sigma(i\lambda + \epsilon, m) + \Sigma(-i\lambda + \epsilon, m) \right]$$

the divergences cancel out on the r.h.s.

- By choosing a different probe function, it is possible to extract Σ from integrals of the spectral density which are not plagued by power divergences [L.G., S. Necco 07]

Spectral projectors: the mode number

- The average number of eigenstates of D with $|\lambda| < \Lambda$

$$\nu(\Lambda, m) = V \int_{-\Lambda}^{\Lambda} d\lambda \rho(\lambda, m)$$

is maybe the simplest integral to consider

- From the renormalization pattern of the spectral density, it follows that the mode number is a renormalization-group invariant

$$\nu_{\text{R}}(\Lambda_{\text{R}}, m_{\text{R}}) = \nu(\Lambda, m)$$

and its continuum limit is universal for any value of Λ and m

- $O(a)$ improvement (almost) automatic

- Standard chiral effective theory supplemented with a valence quark

$$SU(2)_L \otimes SU(2)_R \rightarrow SU(2)_V \quad \Longrightarrow \quad SU(3|1)_L \otimes SU(3|1)_R \rightarrow SU(3|1)_V$$

- The unitary fields are given by

$$U = \exp \left\{ \frac{2i}{F} \Phi \right\}, \quad \Phi = \sum_a \phi^a T^a$$

and the leading order Lagrangian is [$M = \text{diag}(m, m, m_v, m_v)$]

$$\mathcal{L}^{(2)} = \frac{F^2}{4} \left\{ \text{Str} \left[\partial_\mu U^\dagger \partial_\mu U \right] - \frac{2\Sigma}{F^2} \text{Str} \left[M U^\dagger + M^\dagger U \right] \right\}$$

- At the NLO the relevant term is given by

$$\mathcal{L}^{(4)} = -\frac{4\Sigma^2}{F^4} L_6 \text{Str} \left[U^\dagger M + M^\dagger U \right] \text{Str} \left[U^\dagger M + M^\dagger U \right] + \dots$$

- If the condensate is analytically continued in the valence quark mass, then the spectral density can be computed as

$$2\pi\rho(\lambda, m) = \lim_{\epsilon \rightarrow 0} \left[\Sigma^{\text{ChPT}}(i\lambda + \epsilon, m) + \Sigma^{\text{ChPT}}(-i\lambda + \epsilon, m) \right]$$

- In the infinite volume limit and at the NLO in ChPT

$$\nu^{\text{nlo}}(\Lambda, m) = \frac{2\Lambda\Sigma V}{\pi} \left\{ 1 - \frac{m\Sigma}{(4\pi)^2 F^4} \left[3 \ln \left(\frac{\Lambda\Sigma}{F^2 \Lambda_6^2} \right) + \ln(2) + \frac{\pi}{2} \frac{m}{\Lambda} + O \left(\frac{m^2}{\Lambda^2} \right) \right] \right\}$$

- NLO corrections to leading behaviour (**vanishing for $m \rightarrow 0$**) expected rather small for $\Lambda = 0.05\text{--}0.1$ GeV and $m \leq 0.02$ GeV [$\overline{\text{MS}}$ @ 2 GeV]. **No chiral logs $\propto m \ln(m)$**

- Finite volume effects: a fraction of a percent at NLO ChPT in the p-regime

- When $\Lambda\Sigma V$ is not large, threshold effects can be sizeable. They can be quantified in ChPT

- The quantity $\nu(\Lambda, m)$ can be computed as

$$\nu(\Lambda, m) = \langle \mathcal{O} \rangle, \quad \mathcal{O}[U] = \text{Tr} P_M$$

$$P_M = \theta(M^2 - D_m^\dagger D_m), \quad M^2 = \Lambda^2 + m^2$$

- To avoid the (unnecessary) computation of $O(V)$ eigenvalues

$$\nu(\Lambda, m) = \langle \hat{\mathcal{O}} \rangle, \quad \hat{\mathcal{O}}[U, \eta] = (\eta, P_M \eta)$$

where η are Gaussian random sources

- The cost scales with V rather than V^2 , and the relative stat. error scales like $V^{-1/2}$.
Infinite volume and continuum limit extrapolation feasible

Several European groups coordinate their efforts to generate configuration ensembles with $N_f = 2$ dynamical quarks degenerate in mass

Gluon action: Wilson
 Quark action: $O(a)$ -improved Wilson
 Algorithm : DD-HMC

β	V	a [fm]	L [fm]	Masses	Status
5.3	48×24^3	0.08	1.9	6	Completed
5.5	64×32^3	0.06	1.9	6	Completed
5.7	96×48^3	0.04	1.9	3	
5.3	64×32^3	0.08	2.5	6	Completed
5.5	96×48^3	0.06	2.5	4	
5.7	128×64^3	0.04	2.5		
5.3	96×48^3	0.08	3.8		
5.5	128×64^3	0.06	3.8		
...

Groups:

- Berlin (U. Wolff)
- CERN (L. G., M. Lüscher)
- DESY-Zeuthen (R. Sommer)
- Madrid (C. Pena)
- Mainz (H. Wittig)
- Rome (R. Petronzio)
- Valencia (P. Hernández)

Physics:

- Spontaneous symmetry breaking
- Fundamental parameters
- Light-light mesons
- Heavy-light mesons
- Baryons
- Weak matrix elements

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● Lattice details:

* $N_f = 2$ degenerate quarks

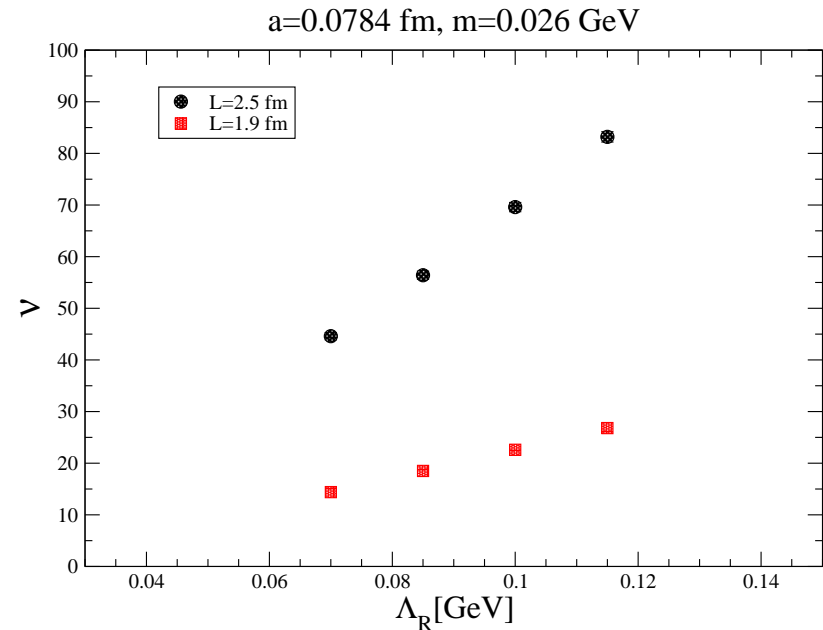
* Action: $O(a)$ -improved Wilson

* $a = 0.0784$ fm

* $V = 2L \times L^3$, $L = 1.9, 2.5$ fm

* $m_{\text{R}}^{\overline{\text{MS}}}(2 \text{ GeV}) = 0.013, 0.026, 0.046 \text{ GeV}$

* $\Lambda_{\text{R}}^{\overline{\text{MS}}}(2 \text{ GeV}) = 0.07, 0.085, 0.1, 0.115 \text{ GeV}$



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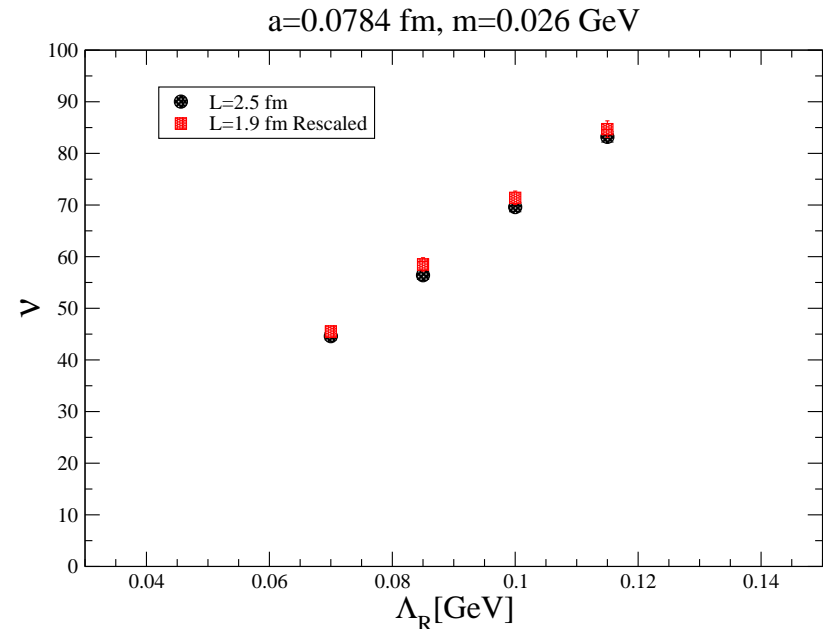
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* $\Lambda_R^{\overline{\text{MS}}}(2 \text{ GeV}) = 0.07, 0.085, 0.1, 0.115 \text{ GeV}$

● Finite volume effects below stat. errors (1.5%).
ChPT suggests a fraction of a percent

● A nearly linear function up to 0.1 GeV. Qualitative in line with ChPT, but the fact that the linear behaviour extends to such large values of Λ_R is rather striking and unexpected



- An effective condensate can be defined as

$$\bar{\Sigma}_R = \frac{\pi}{2V} \frac{\partial}{\partial \Lambda_R} \nu_R(\Lambda_R, m_R)$$

prefactor so that $\bar{\Sigma}_R$ coincides with Σ at LO

Λ_R	m_R	ν_R	$\bar{\Sigma}_R^{1/3}$
70	12.9(1)(5)	43.8(8)	266(2)(5)
	26.5(1)(10)	44.6(7)	267(1)(5)
	45.9(1)(18)	48.9(9)	276(2)(5)
85	12.9(1)(5)	54.9(9)	269(1)(5)
	26.5(1)(10)	56.4(8)	271(1)(5)
	45.9(1)(18)	63.4(10)	282(1)(5)
100	12.9(1)(5)	66.6(10)	271(1)(5)
	26.5(1)(10)	69.6(9)	275(1)(5)
	45.9(1)(18)	78.2(12)	286(1)(5)
115	12.9(1)(5)	78.7(11)	274(1)(5)
	26.5(1)(10)	83.2(10)	279(1)(5)
	45.9(1)(18)	93.9(12)	291(1)(5)

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prefactor so that $\bar{\Sigma}_R$ coincides with Σ at LO

- A linear extrapolation to the chiral limit yields

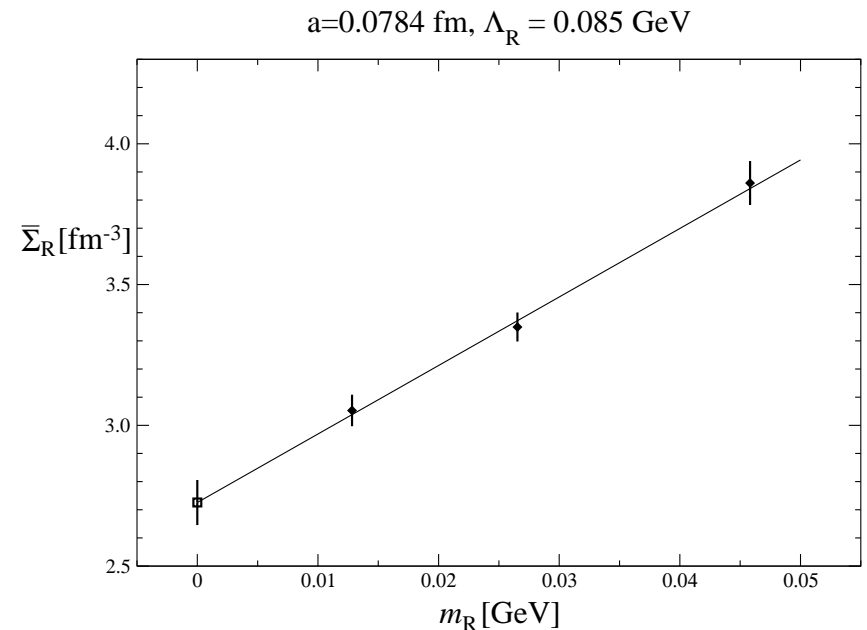
$$\left[\Sigma_R^{\overline{\text{MS}}}(2 \text{ GeV}) \right]^{1/3} = 0.276(3)(4)(5) \text{ GeV}$$

- To be compared, for instance, with the value extracted from the GMOR by the ETMC

$$\left[\Sigma_R^{\overline{\text{MS}}}(2 \text{ GeV}) \right]^{1/3} = 0.270(8) \text{ GeV} \quad [\text{R. Baron et al. ETM Coll. 09}]$$

or from fixed topology simulations by JLQCD

$$\left[\Sigma_R^{\overline{\text{MS}}}(2 \text{ GeV}) \right]^{1/3} = 0.243(4)(0) \text{ GeV} \quad [\text{Fukaya et al. 09}]$$



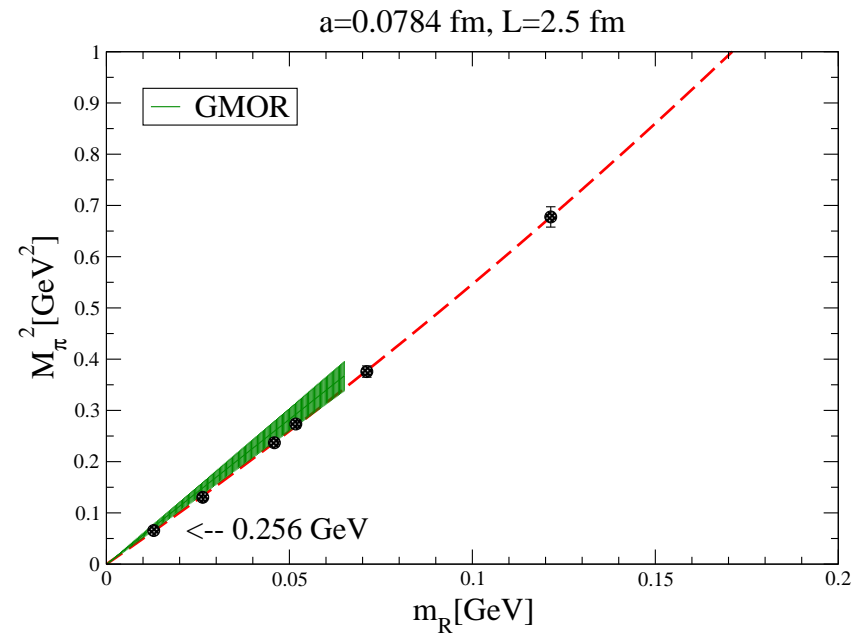
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prefactor so that $\bar{\Sigma}_R$ coincides with Σ at LO

- A linear extrapolation to the chiral limit yields

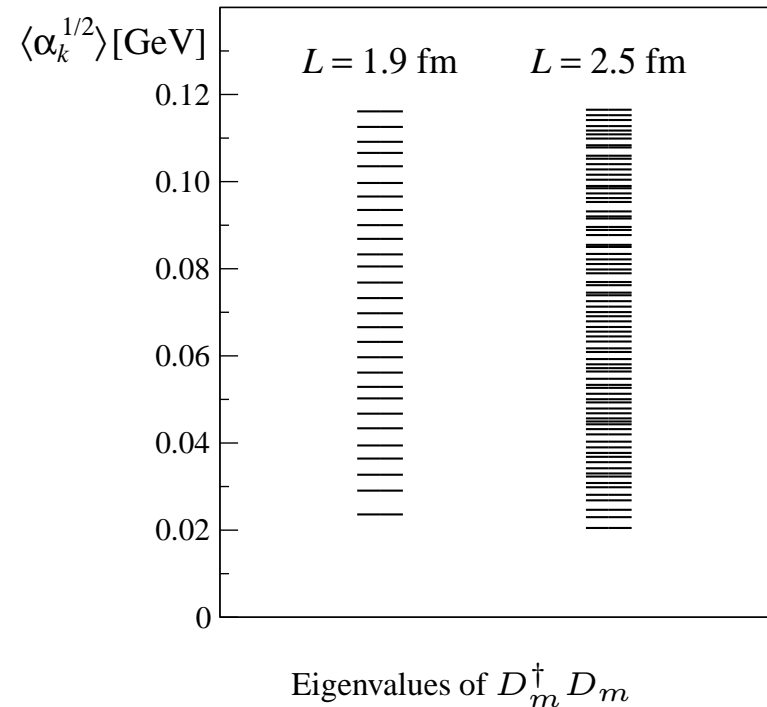
$$\left[\bar{\Sigma}_R^{\overline{\text{MS}}}(2 \text{ GeV}) \right]^{1/3} = 0.276(3)(4)(5) \text{ GeV}$$



- A clear and consistent picture is emerging. For $m_R \leq 0.05$ GeV the GMOR formula accounts for the bulk of the pion mass. But discretization errors not quantified yet

Why is the symmetry spontaneously broken?

- Dynamical process not yet known. Studies of low modes can provide important clues
- The Banks–Casher mechanism is:
 - * insensitive to lattice details (universality)
 - * largely insensitive to dynamical quark effects
 - * present also in quenched QCD
- It is tempting to read the relation in the other direction, i.e. chiral symmetry is broken because the low-modes of the Dirac operator condense



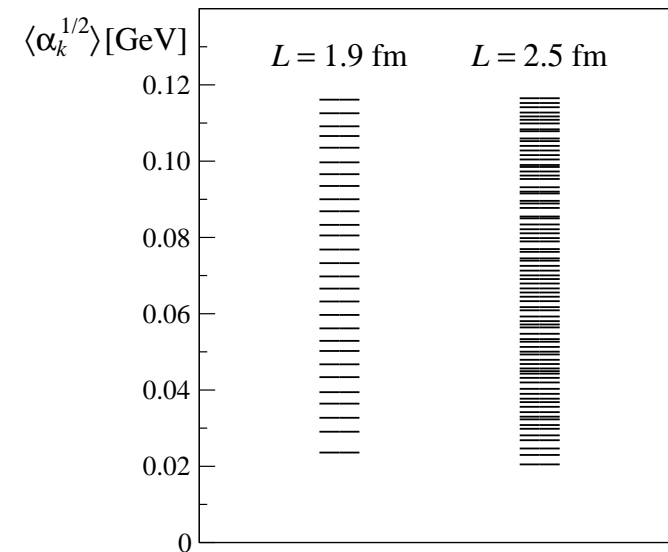
$$\frac{\Sigma}{\pi} = \lim_{\lambda \rightarrow 0} \lim_{m \rightarrow 0} \lim_{V \rightarrow \infty} \rho(\lambda, m)$$

Conclusions

- Thanks to the recent extraordinary conceptual, technical and algorithmic advances the chiral regime of (lattice) QCD is becoming accessible to numerical simulations
- The Banks-Casher relation offers an extremely powerful tool to study the SSB of chiral symmetry, thanks to the renormalizability and universality of the spectral density

- Condensation of low-modes of the Dirac operator most direct piece of theoretical evidence for SSB

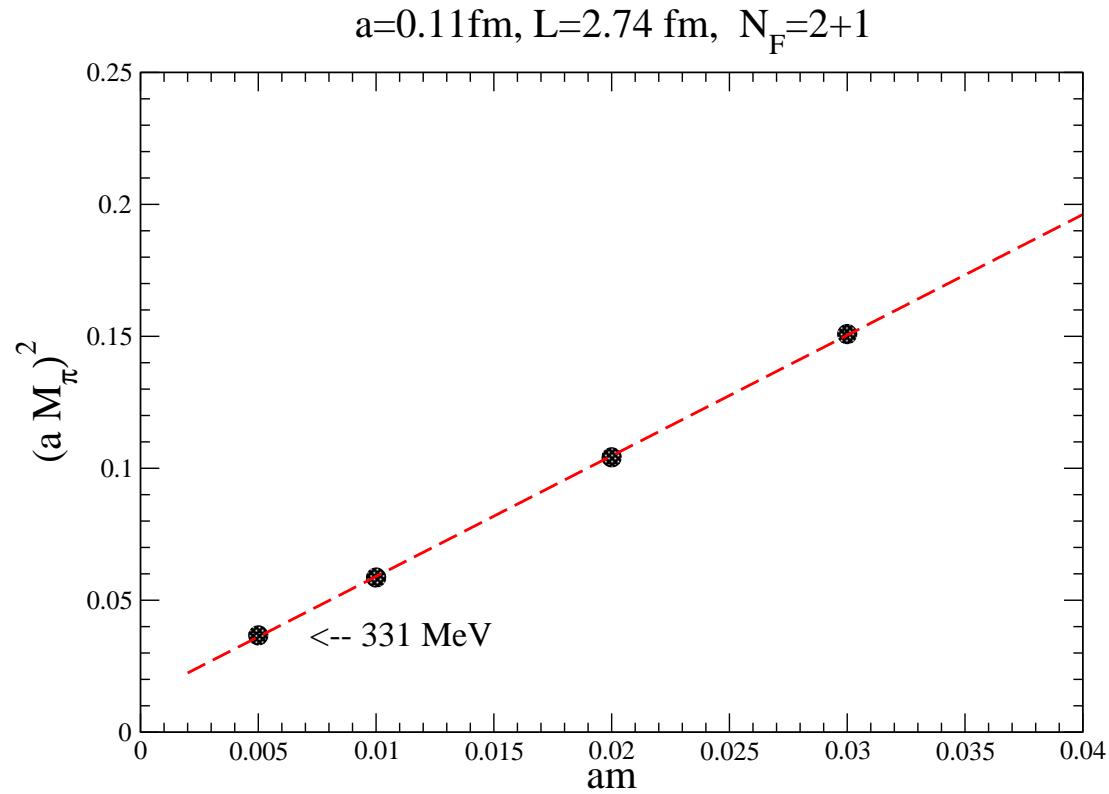
- The rate of condensation explains the bulk of the pion mass up to 0.5 GeV



- The moderate computational cost allows for the infinite volume and continuum limits
- More data needed to estimate the systematics on Σ with confidence

Conclusions

- Thanks to the recent extraordinary conceptual, technical and algorithmic advances the chiral regime of (lattice) QCD is becoming accessible to numerical simulations
- Spectral projectors open a new perspective to study the chiral regime of QCD:
 - * Chiral condensate without power divergences
 - * Topological susceptibility without power divergences
 - * Ward Identities . . .
- The moderate computational cost allows for the infinite volume and continuum limits
- Application to finite temperature or other QCD-like theories straightforward



● ... and striking linearity observed in this case too

●